

# **Check of Causality of Measured EIS and Modeling using DRT and Equivalent Circuits**

**Werner Strunz, Zahner-elektrik**

**[www.zahner.de](http://www.zahner.de)**

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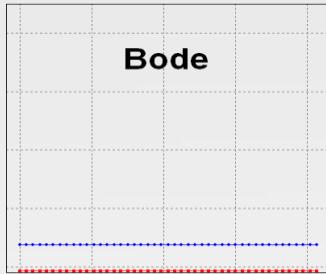
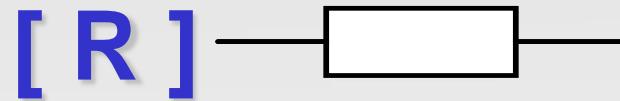
# Outline

- **Basic Impedance Elements**
- **The Constant Phase Element (CPE)**
- ✓ **Distribution of Relaxation Times**
- **Validation of Impedance Spectra**

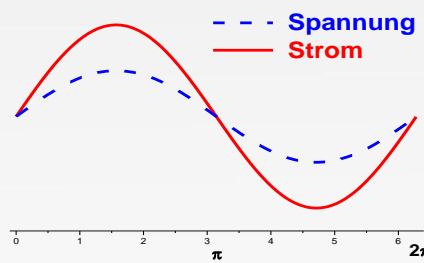
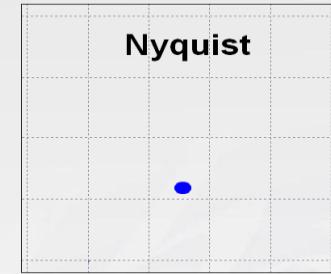
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# 1. Resistor [ R ]



Phase = 0  
Impedanz = const

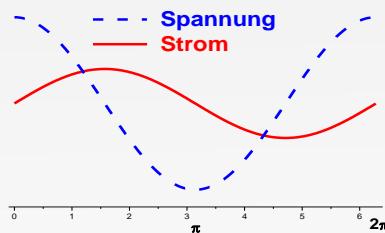
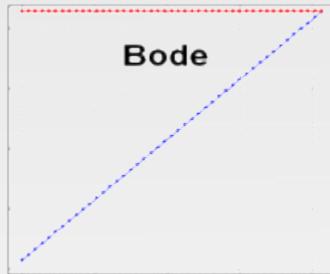


- Voltage and Current “in Phase”
- $Z = R$     [ $Z \neq f(\omega)$ ]
- Electrolyte, Charge Transfer, ...

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## 2. Inductance [ L ]



- Voltage **AHEAD** Current
- $Z = L \cdot j\omega$        $\varphi = \text{const} = +90^\circ$
- Coils, Surface Relaxation, ...

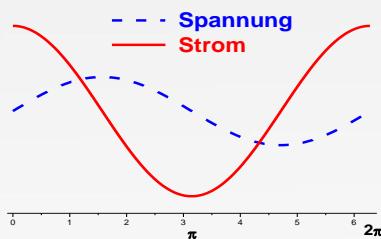
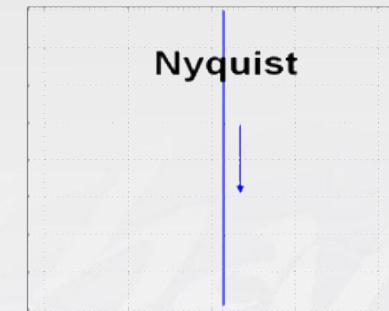
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# 3. Capacitor [ C ]



slope = -1

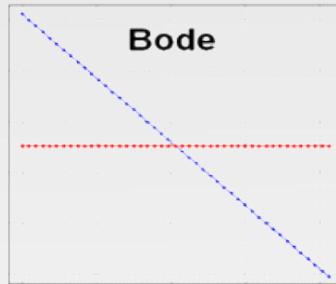


- Current AHEAD Voltage
- $Z = C \cdot j\omega$        $\varphi = \text{const} = -90^\circ$
- Dielectrics, Double Layer, ...

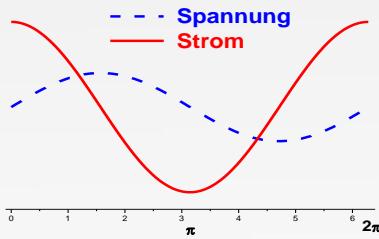
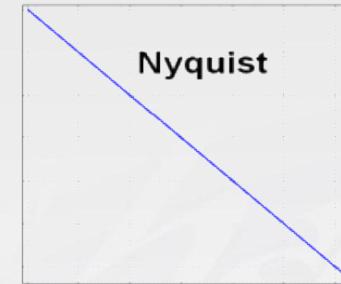
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# 4. Warburg Impedance [ W ]



slope = -0.5



➤ Current AHEAD Voltage

$$Z_W = \frac{W}{\sqrt{j \cdot \omega}} = \frac{W}{\sqrt{2 \cdot \omega}} \cdot (1 - j)$$

➤  $\varphi = \text{const} = -45^\circ$

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# 4. Warburg Impedance [ C ]

## - Example



$$Z_W = \frac{W}{\sqrt{j \cdot \omega}} = \frac{W}{\sqrt{2 \cdot \omega}} \cdot (1 - j)$$

?

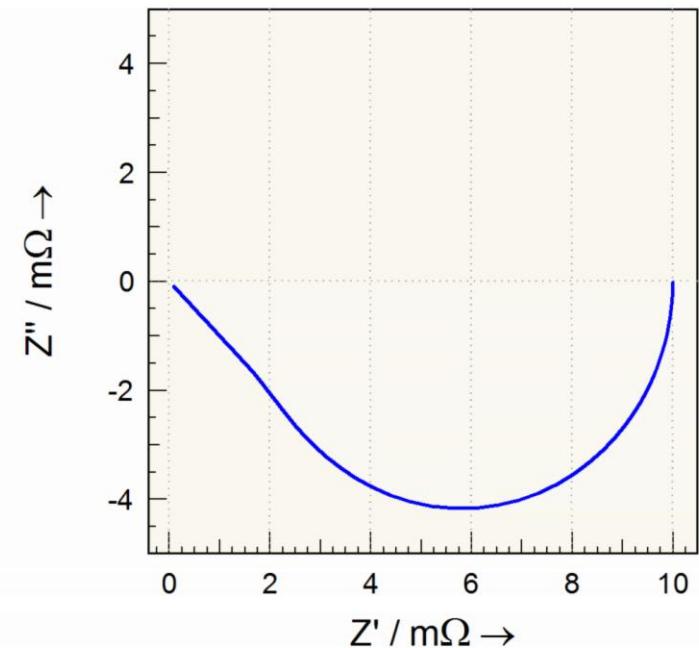
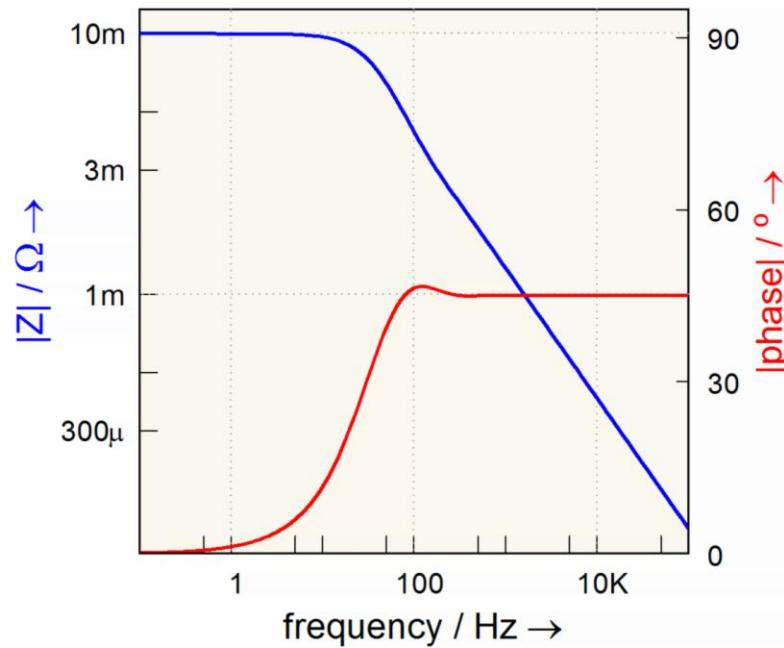
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# 5. Nernst-Diffusion[ N ]



$$Z_N = \frac{W}{\sqrt{j \cdot \omega}} \cdot \tanh \sqrt{\frac{j \cdot \omega}{k_N}}$$



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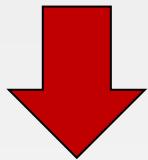
... Fuel Cells

# 5. Nernst-Diffusion[ N ]

## - Example (FC)



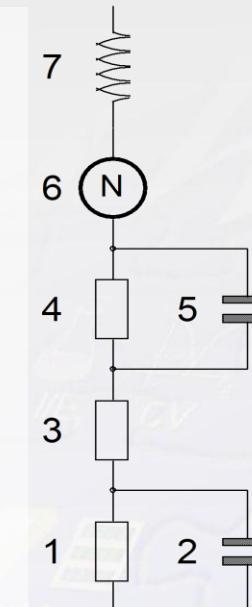
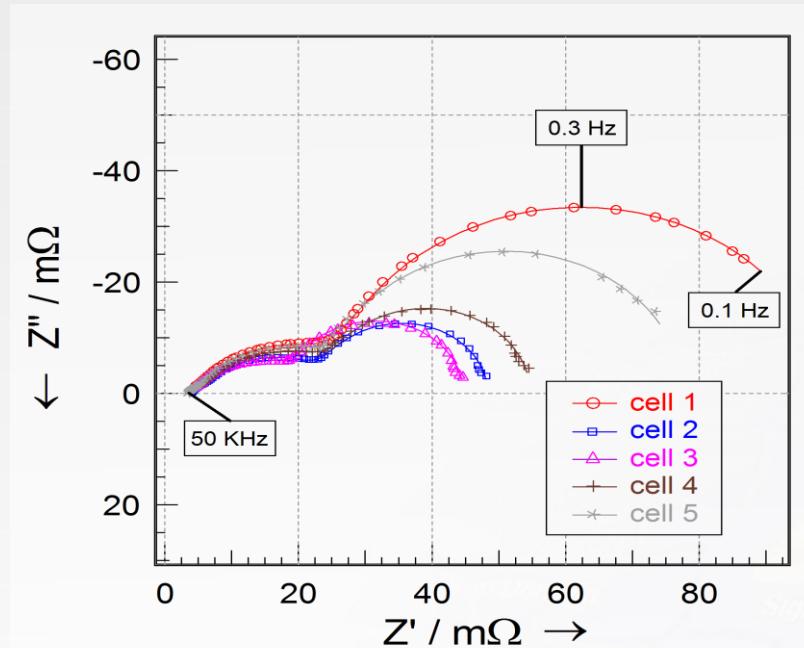
$$\lim_{x \rightarrow 0} (\tanh(x)) = x$$



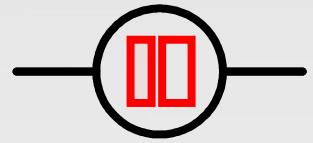
$$Z_N = \frac{W}{\sqrt{k_N}}$$

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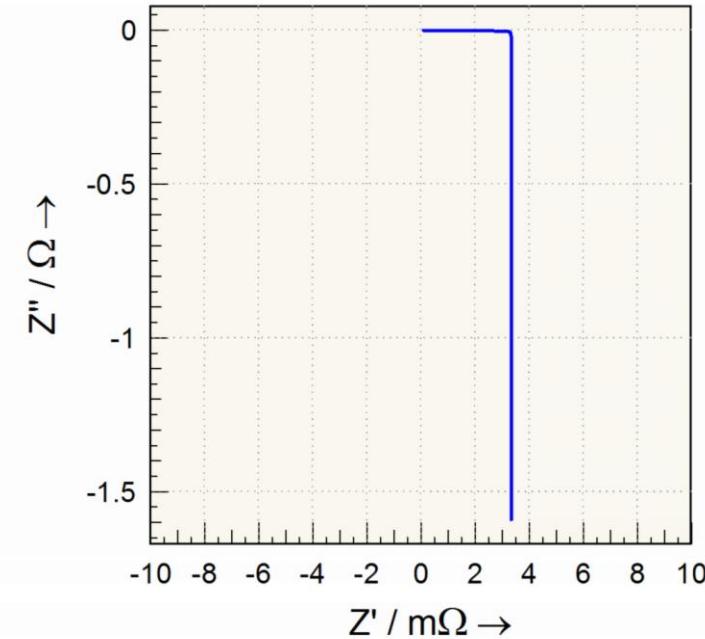
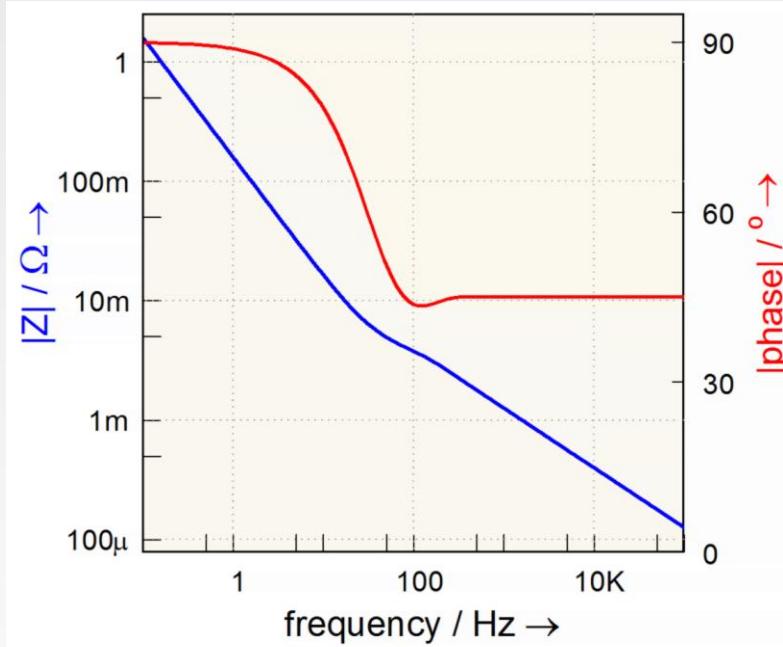


1	$R_{CT}$	Anode
2	$C_{DL}$	
3	$R_{ME}$	
4	$R_{CT}$	Cathode
5	$C_{DL}$	
6	GDZ	Anode
7	Wiring	Inductance
1	2.696	$\text{m}\Omega$
2	29.45	$\text{mF}^\alpha$
	498.2	$\text{m}$
3	2.5	$\text{m}\Omega$
4	11.01	$\text{m}\Omega$
5	236.8	$\text{mF}^\alpha$
	860.1	$\text{m}$
6	68.16	$\text{m}\Omega \cdot \text{s}^{-1/2}$
	724.9	$\text{ms}^{-1}$
7	1.564	$\text{nH}$



# 6. Finite Diffusion [ FD ]

$$Z_S = \frac{W}{\sqrt{j \cdot \omega}} \cdot \coth \sqrt{\frac{j \cdot \omega}{k_S}}$$



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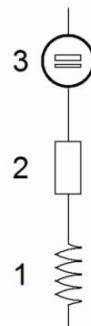
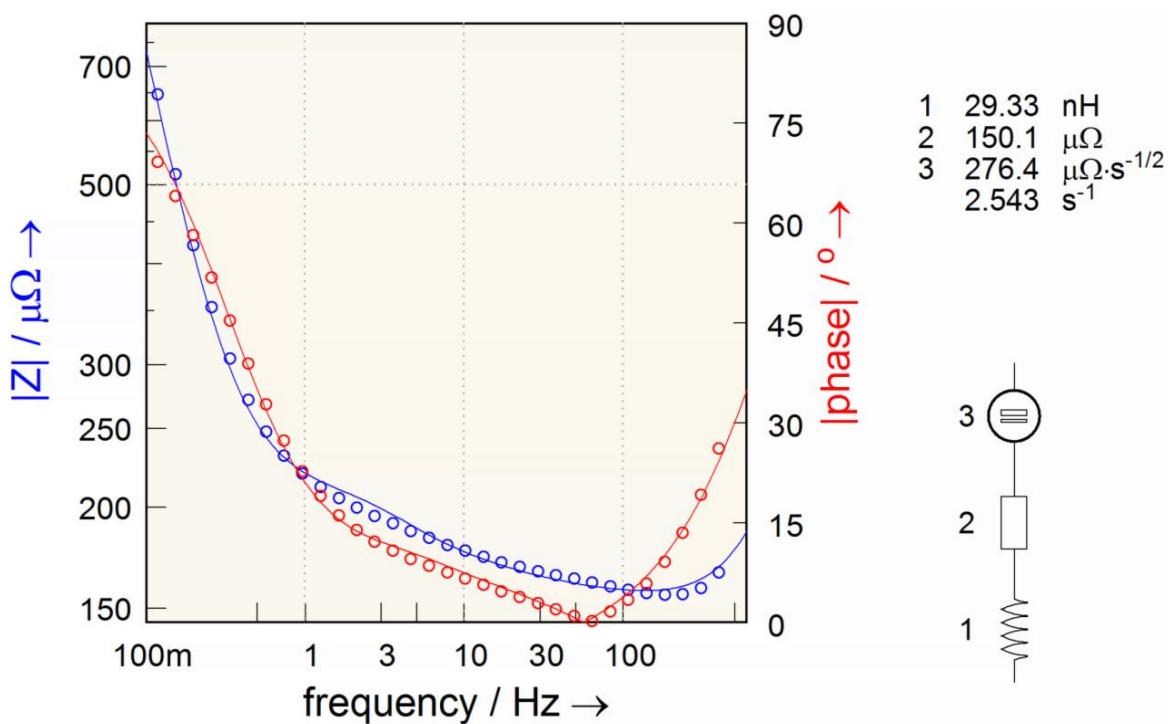
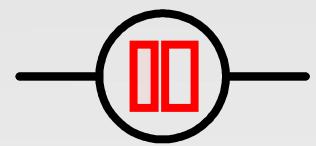
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... Supercaps

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# 6. Finite Diffusion [ FD ]

## - Example



$$\lim_{x \rightarrow 0} (\coth(x)) = \frac{1}{x}$$



$$Z_S = W \cdot \sqrt{k_S} \cdot \frac{1}{j \cdot \omega} \text{ resulting in } C = \frac{1}{W \cdot \sqrt{k_S}}$$

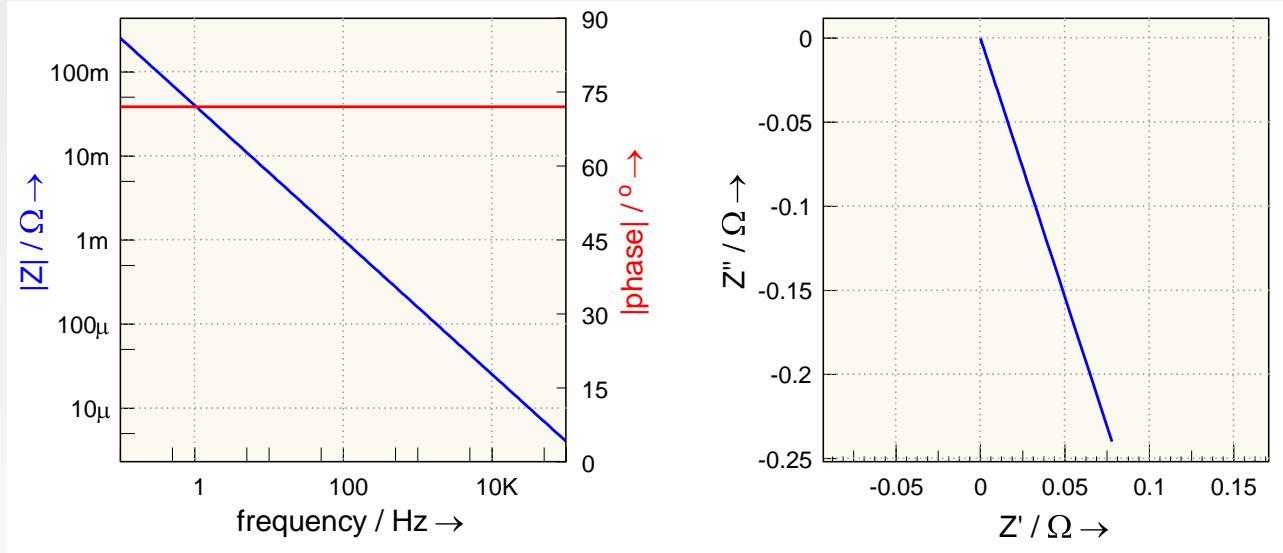
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# 7. Constant Phase Element [ CPE ]



$$Z_{CPE} = \frac{1}{Y_0} \cdot \frac{1}{(j \cdot \omega)^\alpha}$$



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➤ Current AHEAD Voltage

# CPE – Flexible Element

## ➤ The Constant Phase Element (CPE)

- ✓ Properties
- ✓ Normalization
- ✓ Normalization ( $R||CPE$ )
- ✓ Distribution of Relaxation Times

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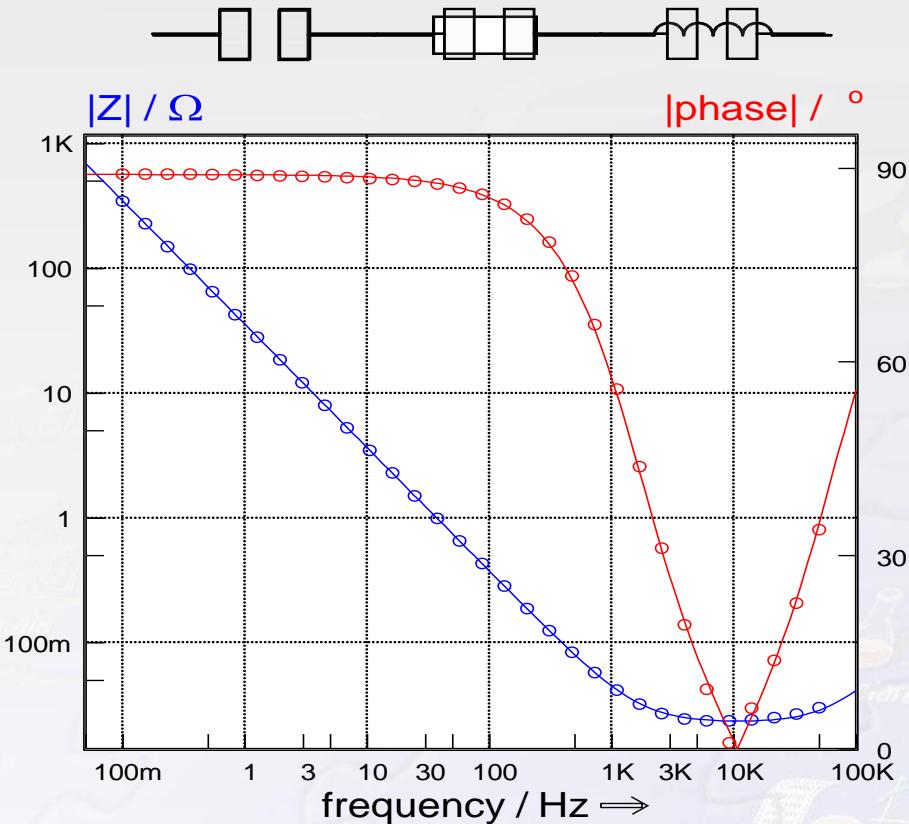
# Constant Phase Element [ CPE ]

## Impedance of an Electrolyte Capacitor

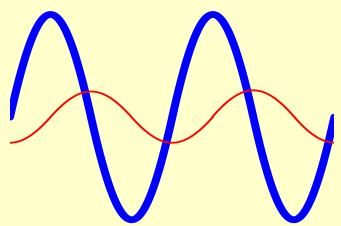
- HF :  $Z \sim \omega$  (like L)
- MF :  $Z \sim \text{const}$  (like R)
- LF :  $Z \sim 1 / \omega$  (like C)  
 $\phi > -90^\circ \Rightarrow \text{CPE}$

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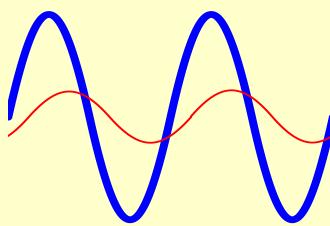


# Constant Phase Element [ CPE ]



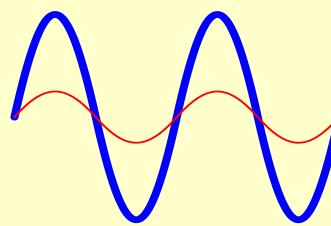
$a = -1$

$$Z(w) = \frac{1}{C} \cdot \frac{1}{j \cdot w}$$



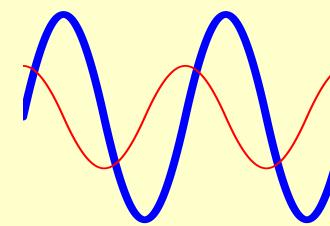
$a = -0,5$

$$Z(w) = "W" \cdot \frac{1}{\sqrt{j \cdot w}}$$



$a = 0$

$$Z(w) = R$$



$a = +1$

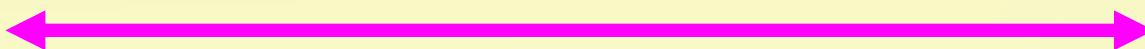
$$Z(w) = L \cdot j \cdot w$$

Capacitor

Diffusion (Warburg)

Ohmic

Inductance



$$0 > a \geq -1 : Z(w) = \frac{1}{Y_o} \cdot \frac{1}{(j \cdot w)^a}$$

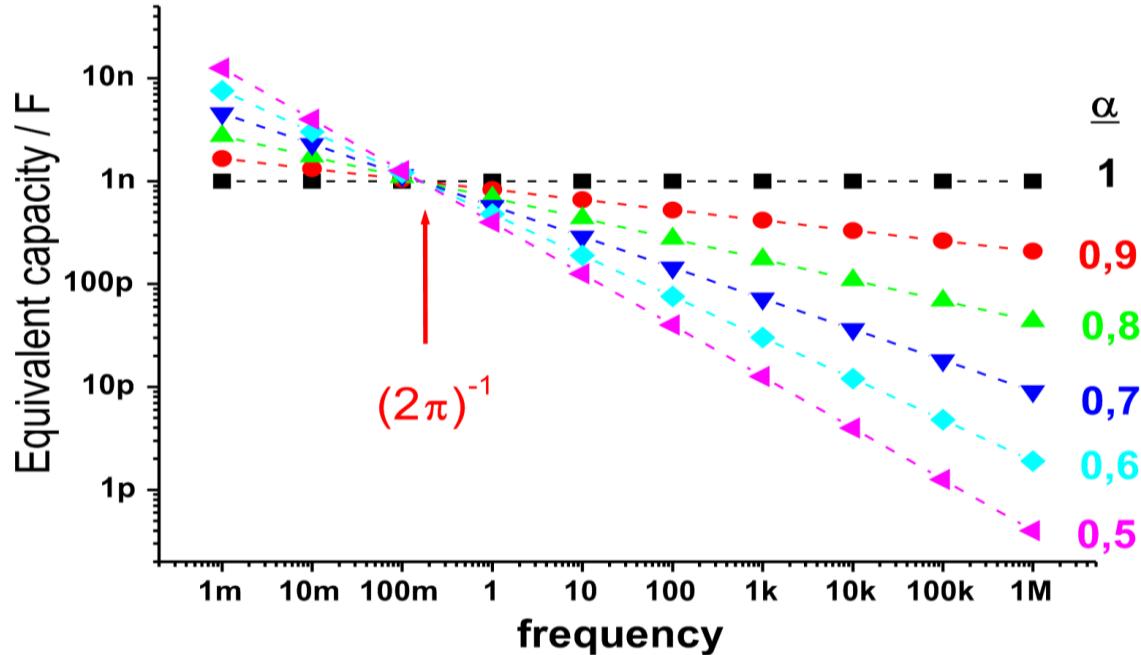
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**[ $Y_o$ ] = f ( $\alpha$ )**

# CPE – a frequency dependent capacity

$$\log(C) = \log(Y_0) + \log(\omega^{\alpha-1})$$



$$C = \frac{\omega_{norm}^\alpha}{\omega_{norm}} \cdot Y_0$$

**Without precaution**

$$f_{norm} = (2\pi)^{-1}$$

(natural = mathematical  
normalization)

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# Normalization of CPE ( $R \parallel CPE$ !)

- K.S. Cole, R.H. Cole; *J. Chem. Phys.* **9** (1941) 341–352
- K.S. Cole, R.H. Cole; *J. Chem. Phys.* **10** (1942) 98-105
- G. J. Brug, A. L. G. van den Eeden, M. Sluyters-Rehbach, J. H. Sluyters; *Journal of Electroanalytic Chemistry* **176** (1984) 275-295
- C.H. Hsu, F. Mansfeld; *Corrosion* **57**/ No. 9 (2001) 747-748
- M.R. Shoar Abouzari, F. Berkemeier, G. Schmitz, D. Wilmer; *Solid State Ionics* **180** (2009) 922–927
- B. Hirschorn, M. Orazem, B. Tribollet, V. Vivier, I. Frateur, M. Musiani; *El. Acta* **55** (2010) 6218–6227

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# Constant Phase Element - Normalization

$$Z_C = \frac{1}{C} \cdot \frac{1}{\omega} = \frac{1}{Y_0} \cdot \frac{1}{\omega^\alpha} = Z_{CPE}$$



$$C = \frac{\omega^\alpha}{\omega} \cdot Y_0$$

OR

$$C = \frac{\omega_{norm}^\alpha}{\omega_{norm}} \cdot Y_0$$

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# Normalization of CPE (R || CPE) !

## - simplified derivation

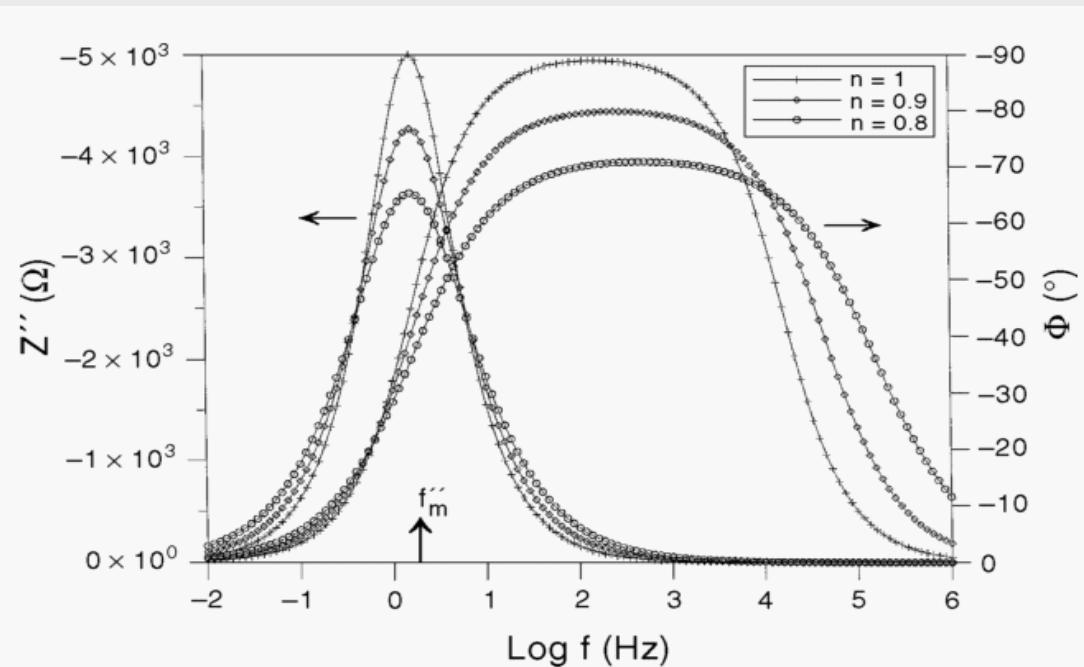
$$Z_{R||C} = \frac{R}{1 + R \cdot C \cdot j \cdot \omega}$$

$$Z_{R||CPE} = \frac{R}{1 + (\tau \cdot j \cdot \omega)^\alpha} \quad \text{with} \quad \tau = R \cdot Y_0$$

$$C = R^{\frac{1-\alpha}{\alpha}} \cdot Y_0^{\frac{1}{\alpha}}$$

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**FIGURE 1.** Frequency dependence of  $Z''$  and  $\Phi$  for different  $n$  values:  $R_s = 1 \Omega$ ,  $R = 1 \times 10^4 \Omega$ , and  $C = 1 \times 10^{-5} F$ .

Normalized capacity is independent of the exponent  $\alpha$

# Constant Phase Element [ R||CPE ]

**Distribution of Relaxation Times (“Measurement Model”)**

**(R.M. Fuoss and J.G. Kirkwood, J. Am. Chem. Soc. 63 (1941) 385)**

$$Z(w) = R \cdot \frac{1}{1 + R Y_0 \cdot (jw)^a} = R \cdot \int_{-\infty}^{\infty} \frac{1}{1 + RC \cdot jw} \cdot G(t) dt$$

$$\text{with } \int_{-\infty}^{\infty} G(t) dt = 1$$

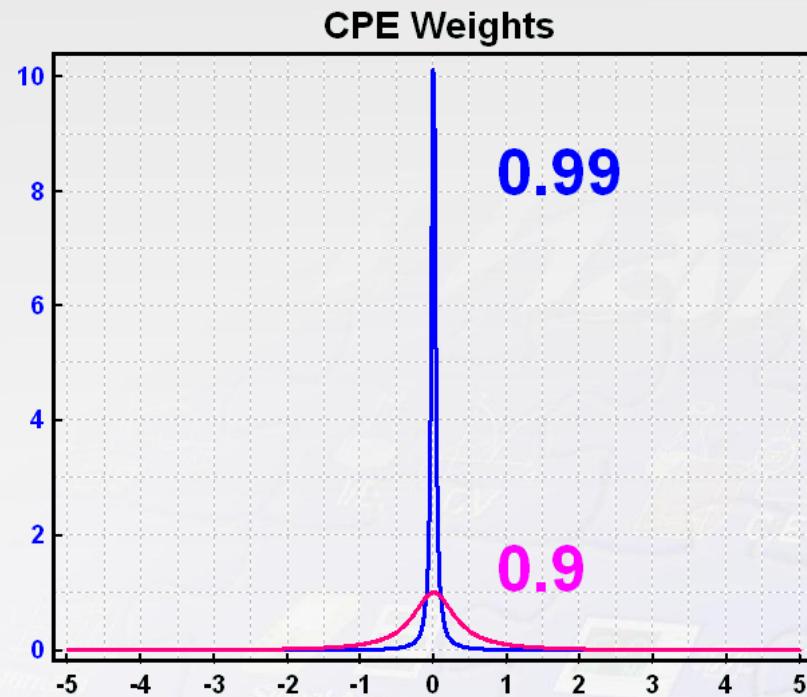
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# Constant Phase Element [ CPE ] Distribution Function (G( $\tau$ ))

$$G(t) = \frac{1}{2p} \cdot \frac{\sin(p \cdot (1 - \alpha))}{cosh(\alpha \cdot x) - cos(p \cdot (1 - \alpha))}$$

$$\text{with } x = \ln \frac{t}{t_0}$$



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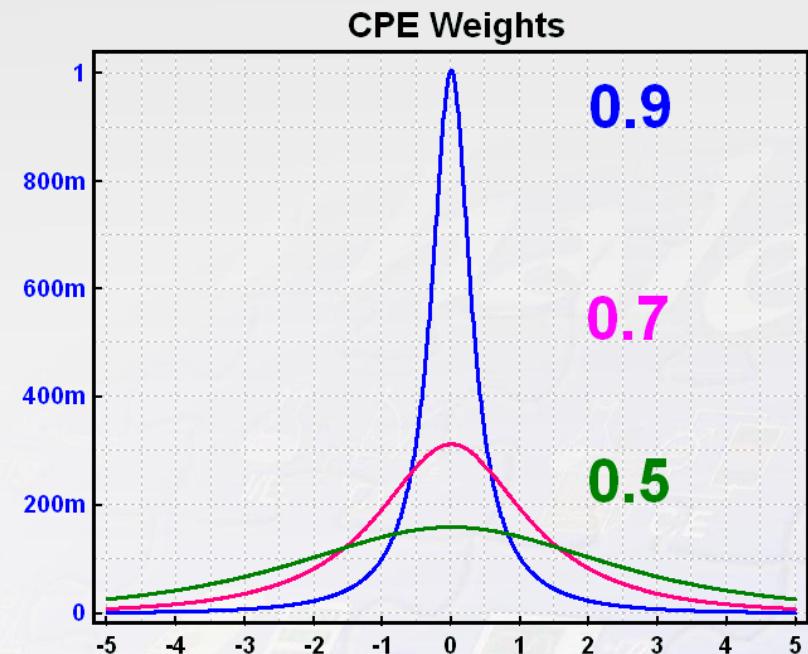
**$\alpha$  close to 1: (non ideal capacity)**

ALEX  
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# Constant Phase Element [ CPE ] Distribution Function (G( $\tau$ ))

$$G(t) = \frac{1}{2p} \cdot \frac{\sin(p \cdot (1 - a))}{\cosh(a \cdot x) - \cos(p \cdot (1 - a))}$$

with  $x = \ln \frac{t}{t_0}$

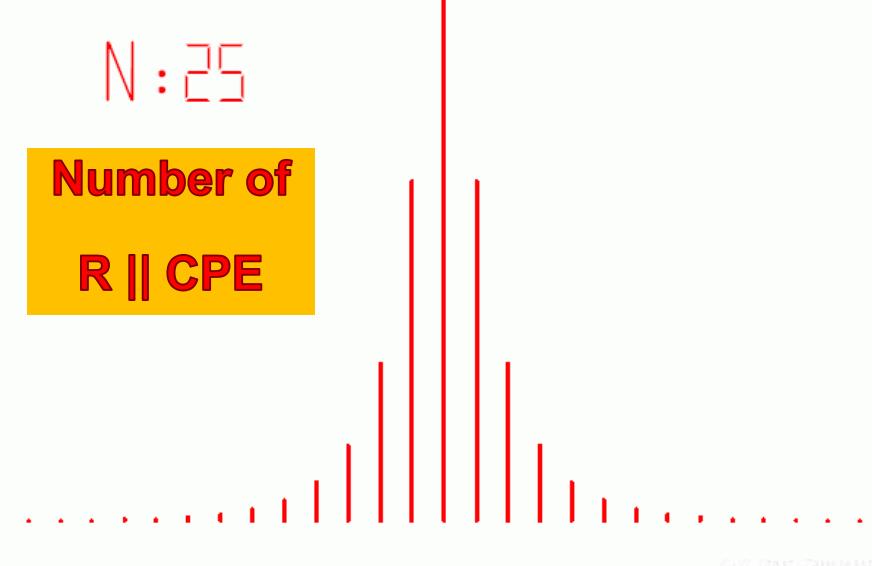
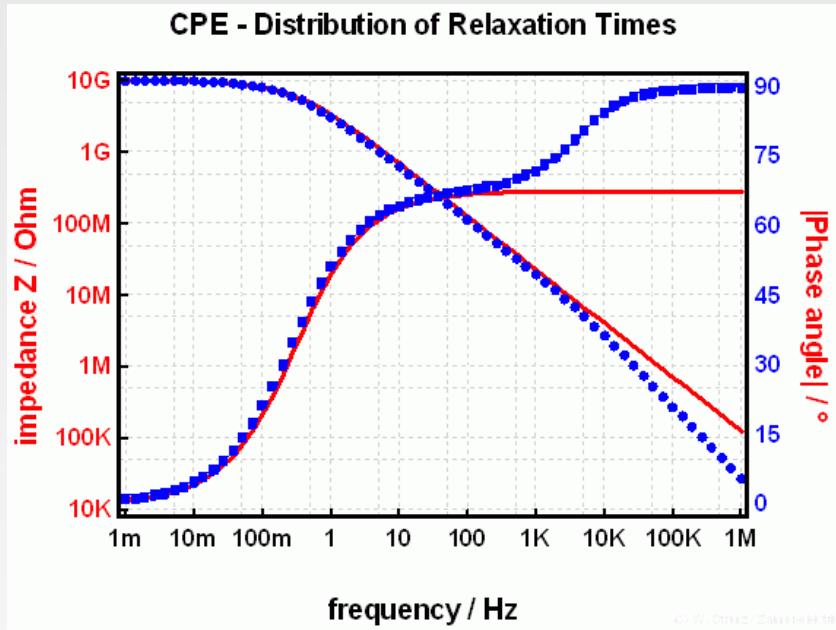


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**α strong deviation 1: diffusion included**

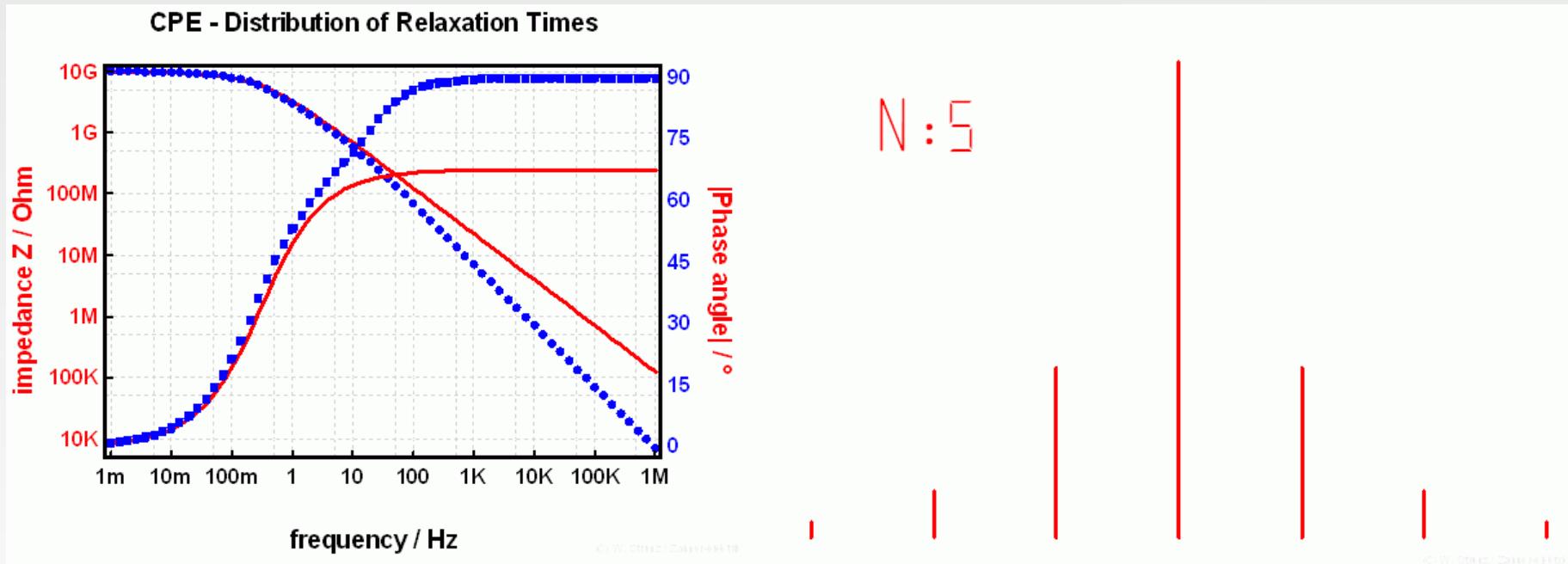
# Constant Phase Element [ R||CPE ]



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# Constant Phase Element [ R||CPE ]



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$R_n$ : equidistant spacing in  $\log(\omega/\omega_0)$

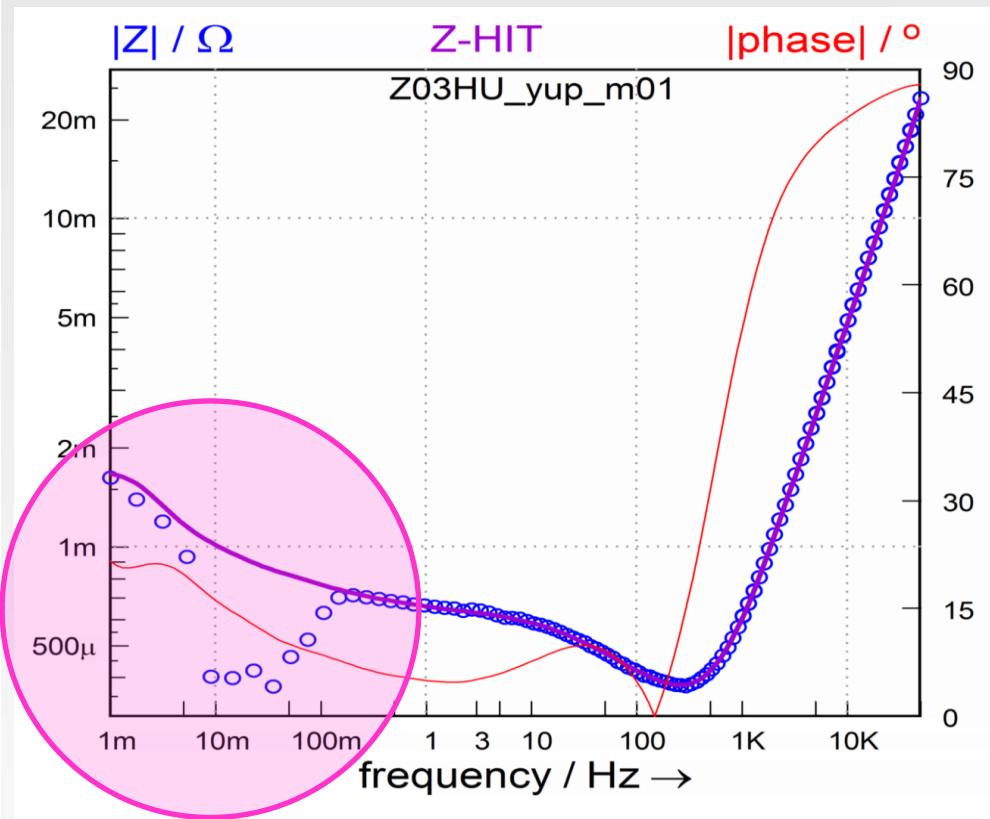
# Validation of Spectra

- Motivation
- (Kramers-Kronig Test)
- The Measurement Model
  - Linear KK-Test (KIT Karlsruhe)
- Z-HIT

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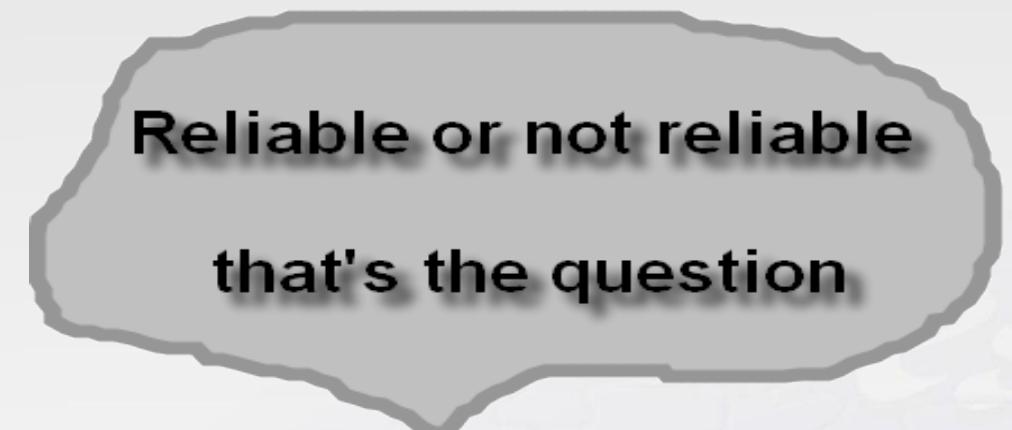
# Problems of Daily Life



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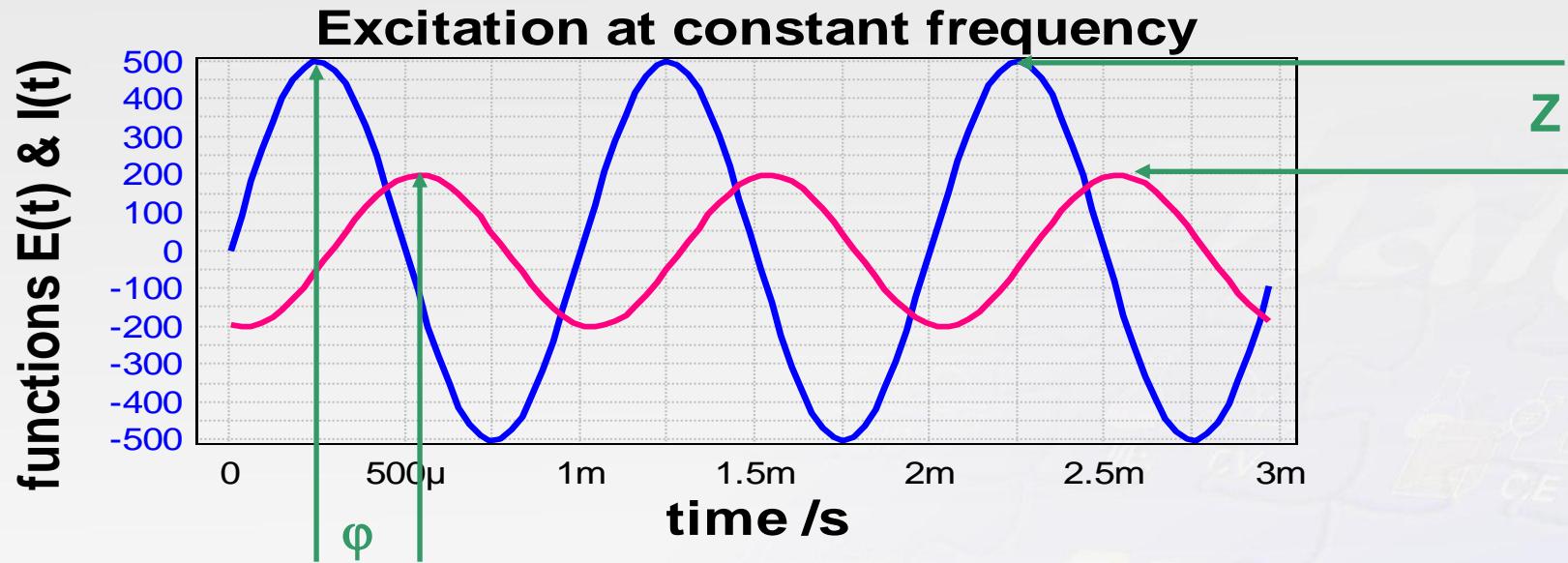
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# EIS-Principle at a Single Frequency



How to validate EIS-spectra ?

What's the specific property ?

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# Motivation

**Development and/or improvement  
of important technical products**

Fuel cells



Batteries

Rechargeable batteries

Solar cells

Coatings

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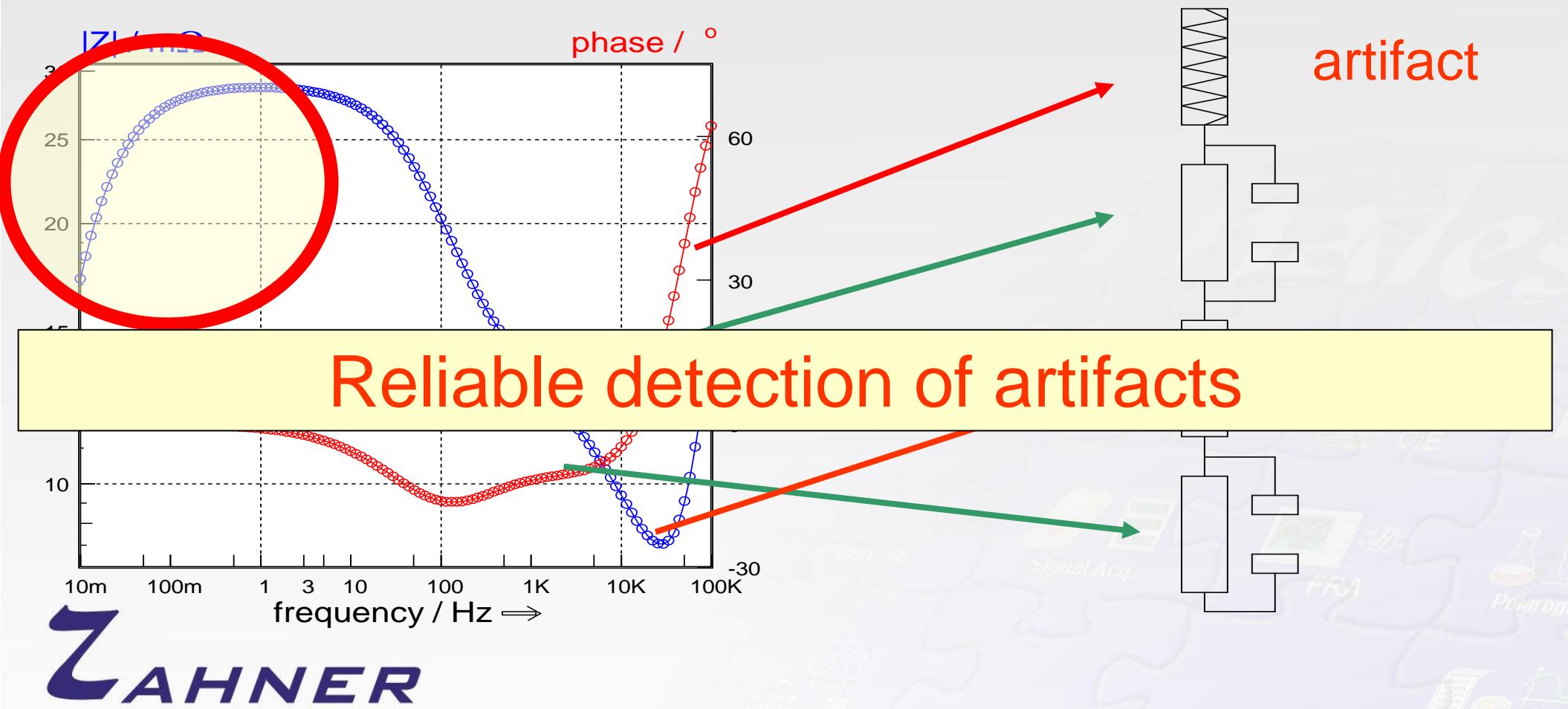
**NON-STATIONARY  
CONDITIONS**

(may) result in

**NON-STATIONARY  
SPECTRA**

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# Motivation : what we need



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# The Kramers-Kronig Relations

$$\text{Re}\{H(\omega_0)\} = \text{Re}\{H(0)\} - \frac{2}{\pi} PV \int_0^{\infty} \frac{\omega \text{Im}\{H(\omega)\}}{\omega^2 - \omega_0^2} d\omega$$

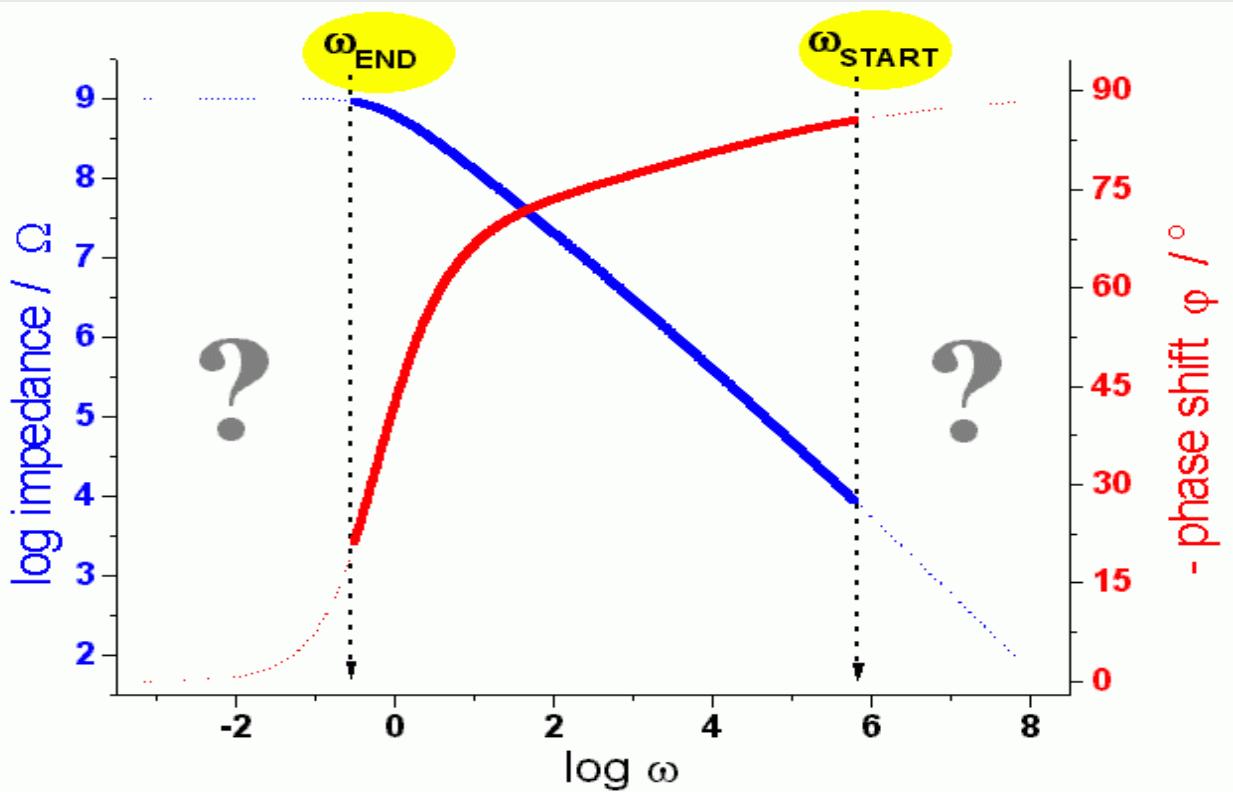
**BUT WHERE ARE THE PROBLEMS ?**

$$\text{Im}\{H(\omega_0)\} = \frac{2}{\pi} \omega_0 PV \int_0^{\infty} \frac{\text{Re}\{H(\omega)\}}{\omega^2 - \omega_0^2} d\omega$$

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# The Limited Bandwidth Problem



- Simulation of a coating during water up-take
- Measured frequency range  
100 KHz – 50 mHz
- $\omega \rightarrow 0 : ?$
- $\omega \rightarrow \infty : ?$

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# The measurement model

- P. Agarwal, M.E. Orazem, L.H. García-Rubio, J. Electrochem. Soc. 139 (1992) 1917
- P. Agarwal, O.D. Crisalle, M.E. Orazem, L.H. García-Rubio, J. Electrochem. Soc. 142 (1995) 4149
- P. Agarwal, M.E. Orazem, L.H. García-Rubio, J. Electrochem. Soc. 142 (1995) 4159
- M.K. Brachman, J.R. Macdonald, Physica 20 (1956) 141
- B.A. Boukamp, J.R. Macdonald, Solid State Ionics 74 (1994) 85

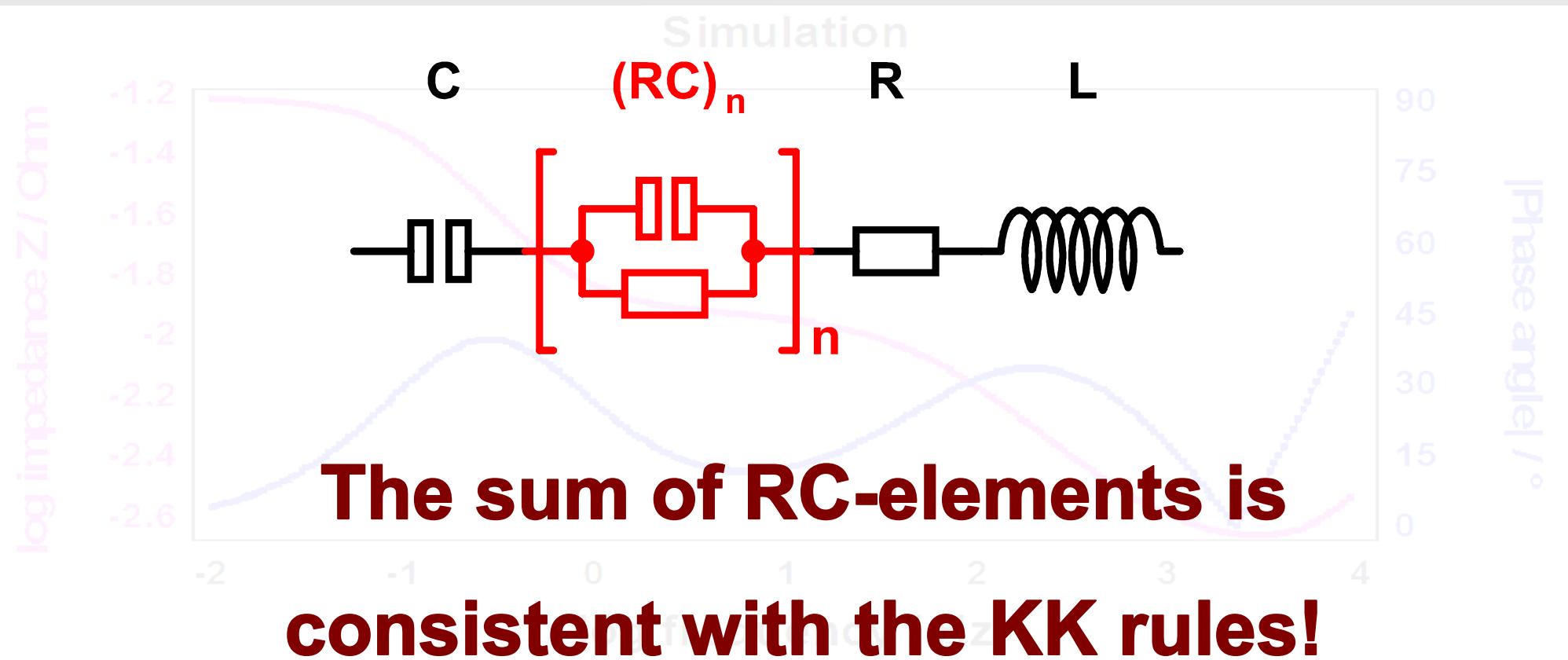
## Linear-KK Check (KIT Karlsruhe)

- M. Schönleber, D. Klotz and E. Ivers-Tiffée, A Method for Improving the Robustness of linear Kramers-Kronig Validity Tests, *Electrochimica Acta* 131, pp. 20-27 (2014), 10.1016/j.electacta.2014.01.034.
- B. A. Boukamp, J. Electrochem. Soc., 142 (1995) 1885
- <http://www.iwe.kit.edu/Lin-KK.php>

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# Measurement Model



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# Measurement Model

- Drawback: “RC“ is not linear!

$$Z_{RC}(\omega) = \frac{R}{1+RC \cdot \omega} = Z_{real}(\omega) + Z_{imag}(\omega) = \frac{R}{1+(RC \cdot \omega)^2} - j \cdot \frac{R^2 C \cdot \omega}{1+(RC \cdot \omega)^2}$$

(R and C can not be separated)

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# Measurement Model

- Solution: “RC“ Replacement :“ $RC=\tau$ “

$$Z_{RC}(\omega) = \frac{R}{1 + \tau \cdot \omega} = Z_{real}(\omega) + Z_{imag}(\omega) = \frac{R}{1 + (\tau \cdot \omega)^2} - j \cdot \frac{R \cdot \tau \cdot \omega}{1 + (\tau \cdot \omega)^2}$$

## Linear-KK Check

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# Linear-KK Check

$$Z_{RC}(\omega) = \frac{R}{1 + \tau \cdot \omega} = Z_{real}(\omega) + Z_{imag}(\omega) = \frac{R}{1 + (\tau \cdot \omega)^2} - j \cdot \frac{R \cdot \tau \cdot \omega}{1 + (\tau \cdot \omega)^2}$$

## Strategy (n intervals)

- $\tau_1 = 1/\omega_{\min}$  (at lowest frequency)
- $\tau_n = 1/\omega_{\max}$  (at highest frequency)
- $\tau_2 \dots \tau_{n-1}$  logarithmically spaced between  $\omega_{\max}$  and  $\omega_{\min}$

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Help

# Linear-KK Tool from KIT Karlsruhe

Institut für Angewandte Materialien  
Werkstoffe der Elektrotechnik

## Linear Kramers-Kronig Validity Test

Import Data



sino497z\_ny.txt

Select Outlier

Delete Outlier

Set Preferences

Complex-Fit

Use Data: All

RC-Auto

Add Capacity (On)

Residual: Normal

Start



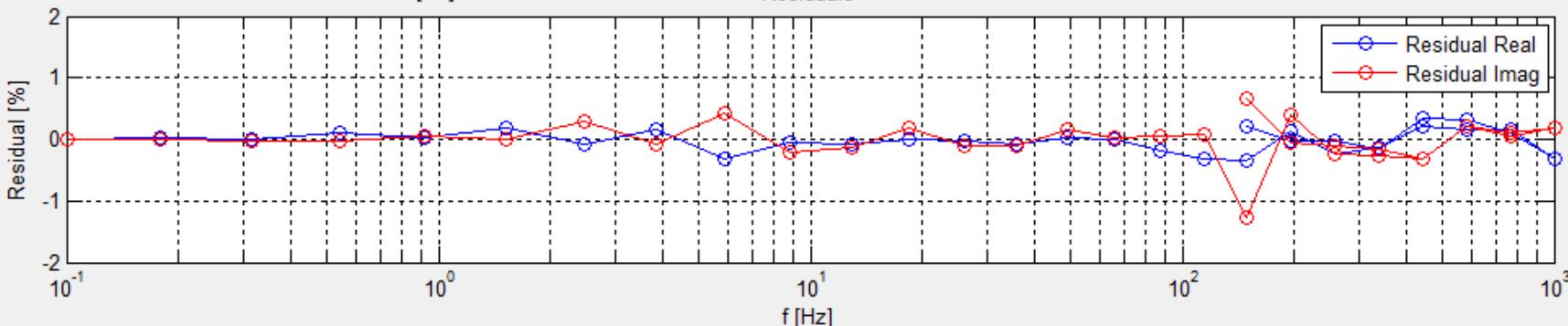
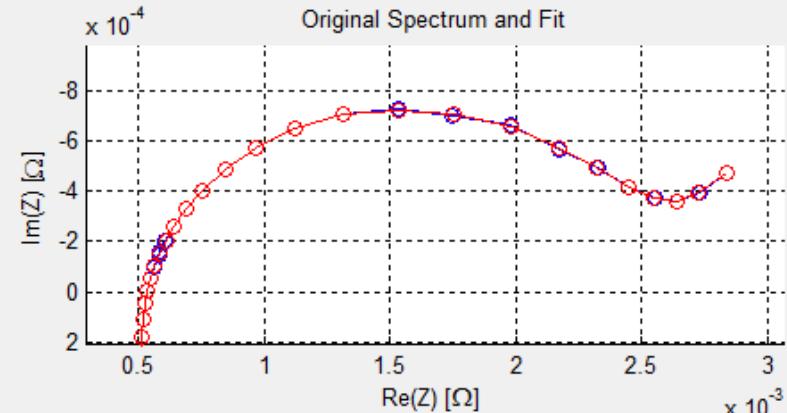
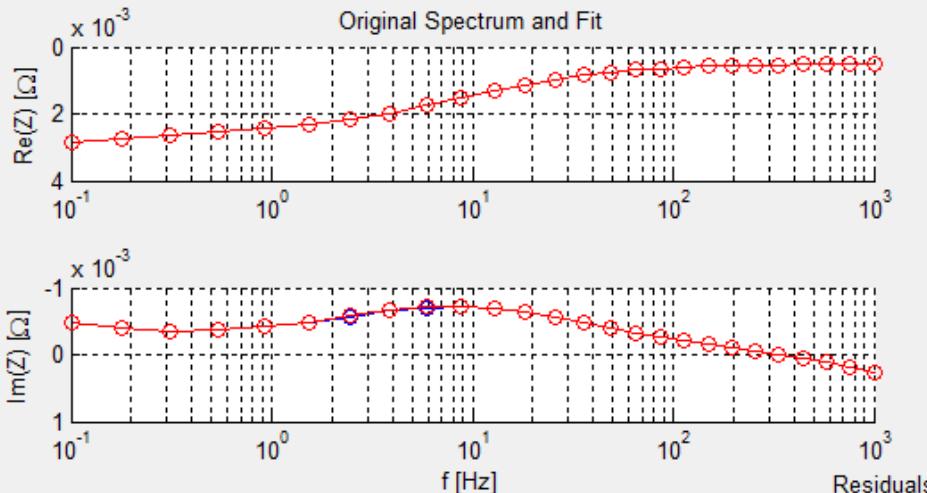
Execute Test

Used RC-Elements: 18  
Ratio to Data Points: 0.55

Save

Save Data

Save Figures

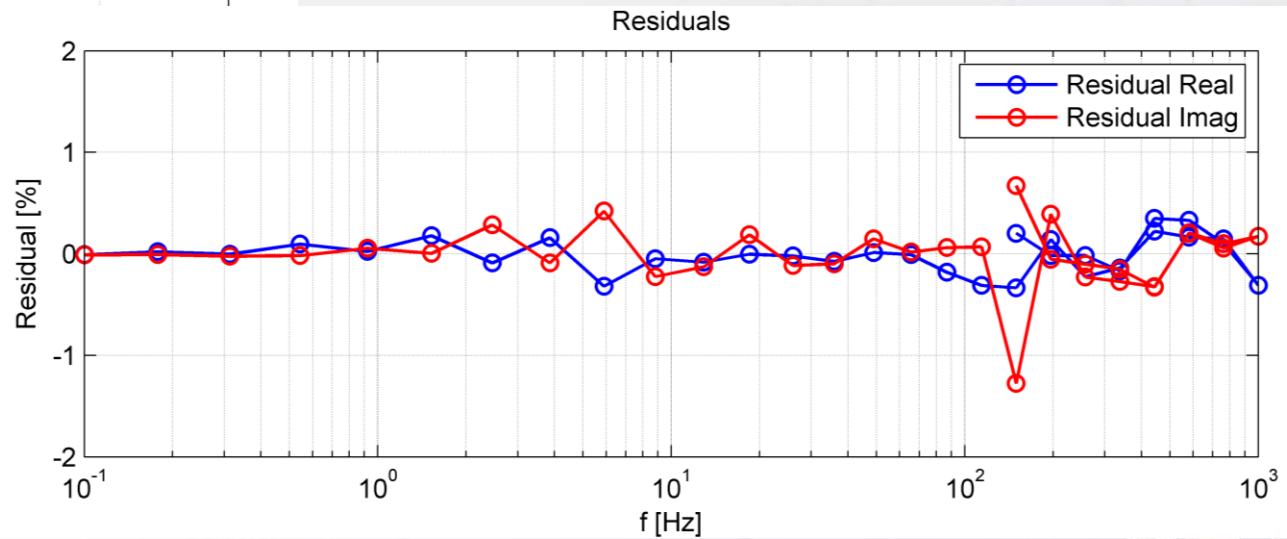
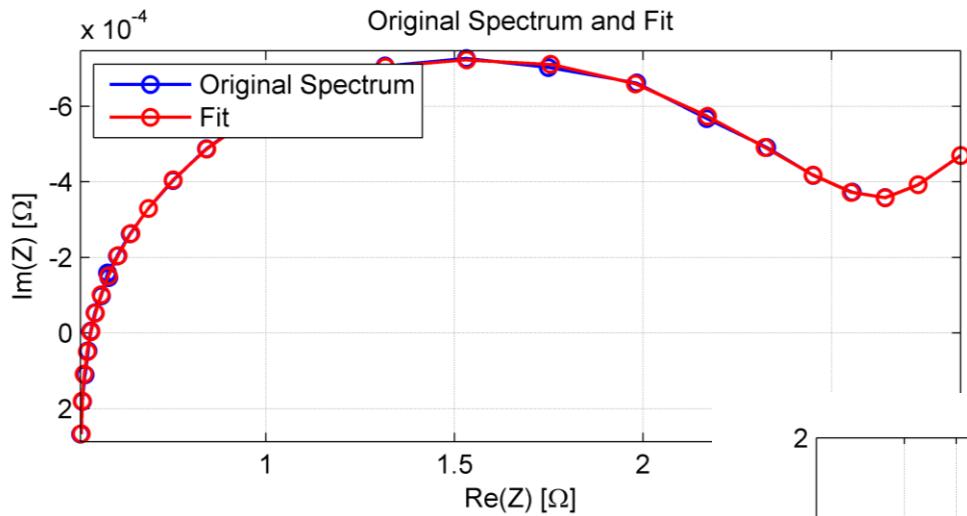


Z

M E

W. Stru

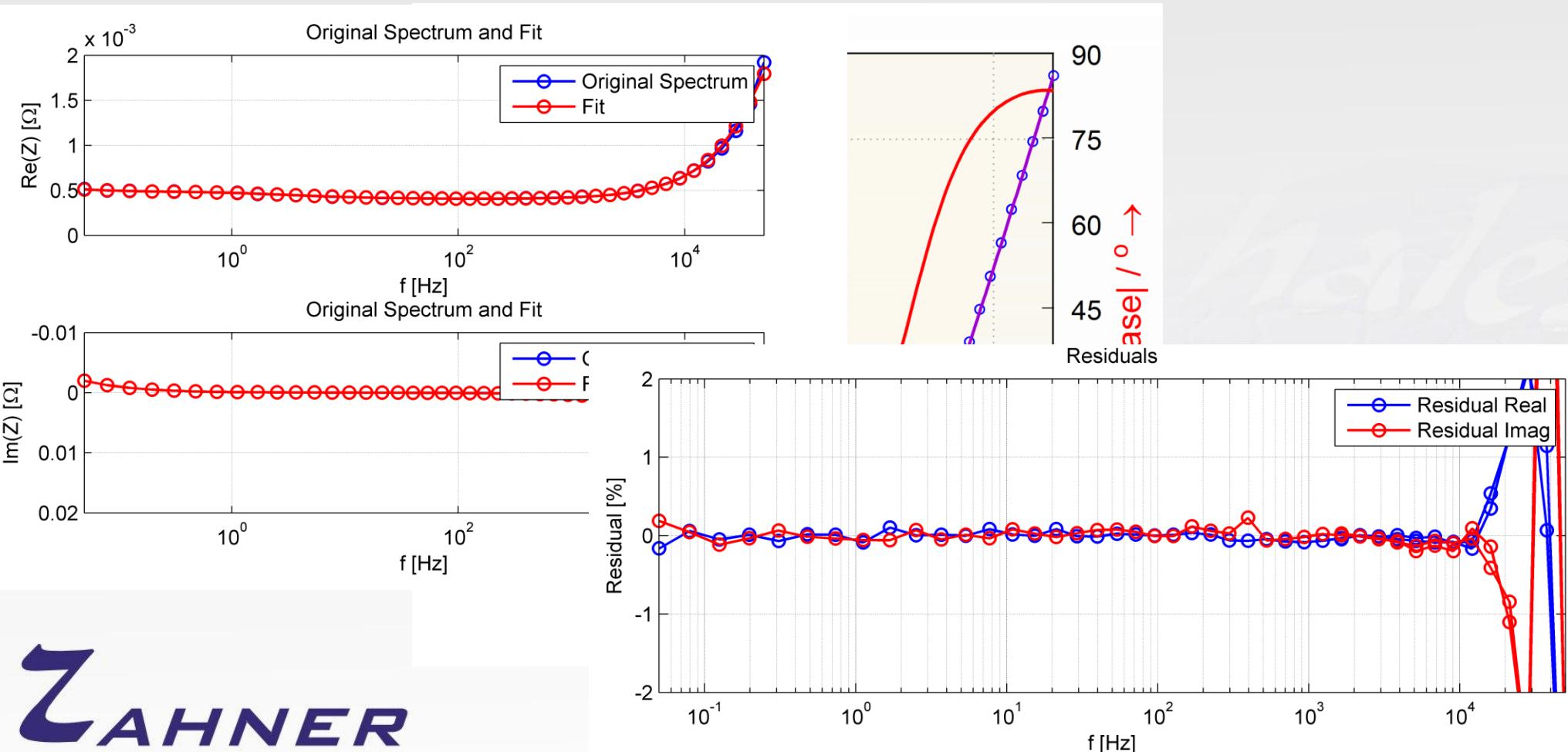
# Linear-KK – Battery (I)



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M E S S S Y S T E M E

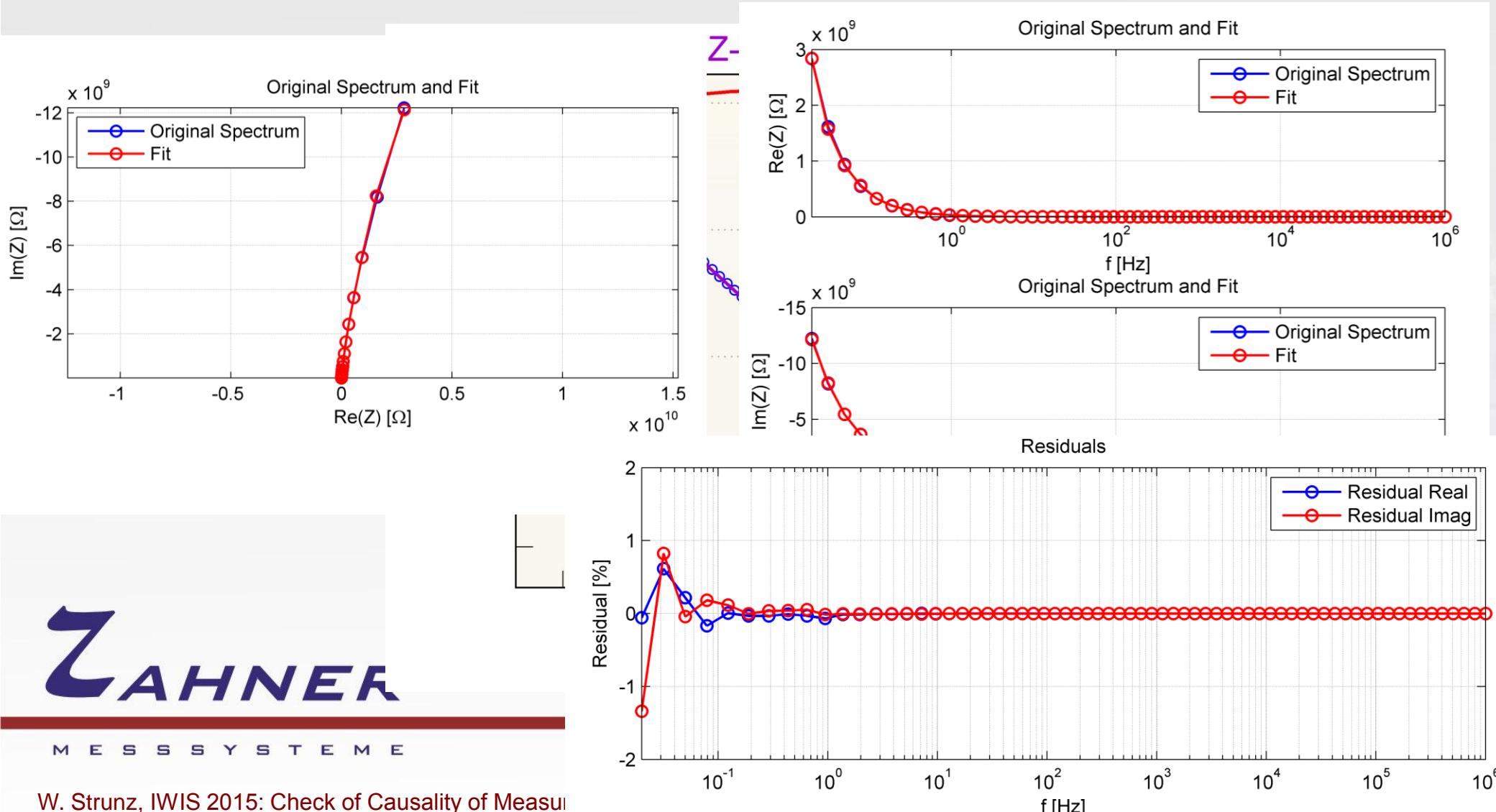
# Linear-KK – Supercap (Sub $m\Omega$ -Range)



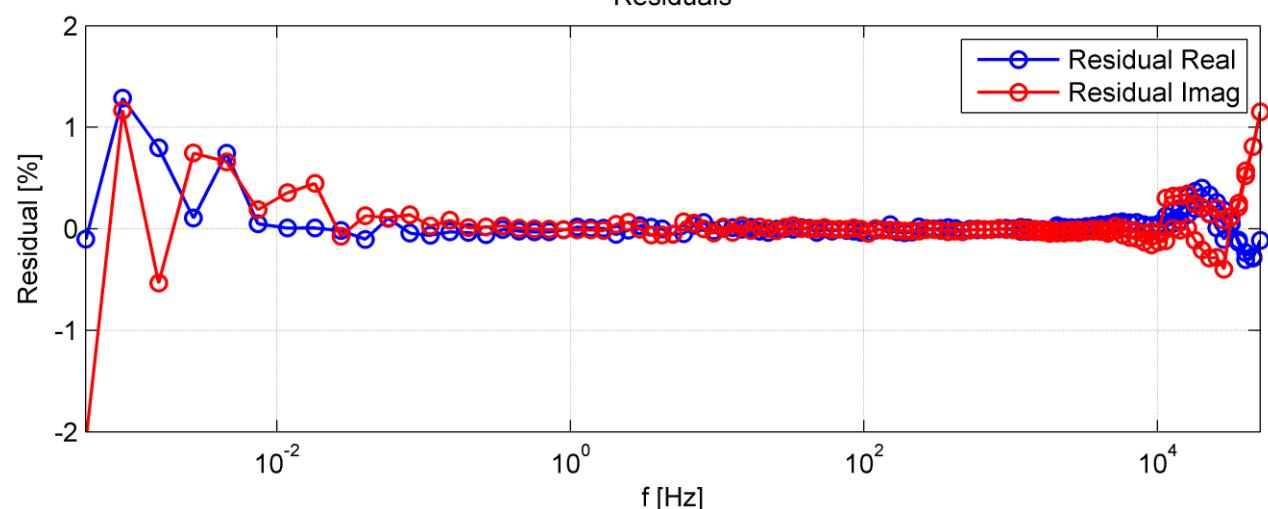
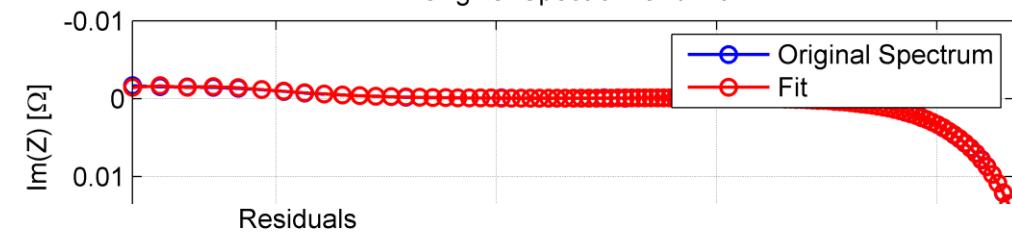
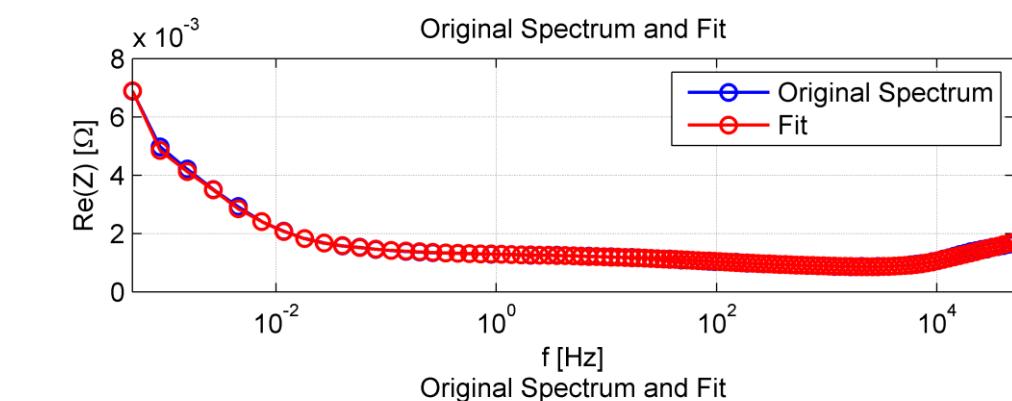
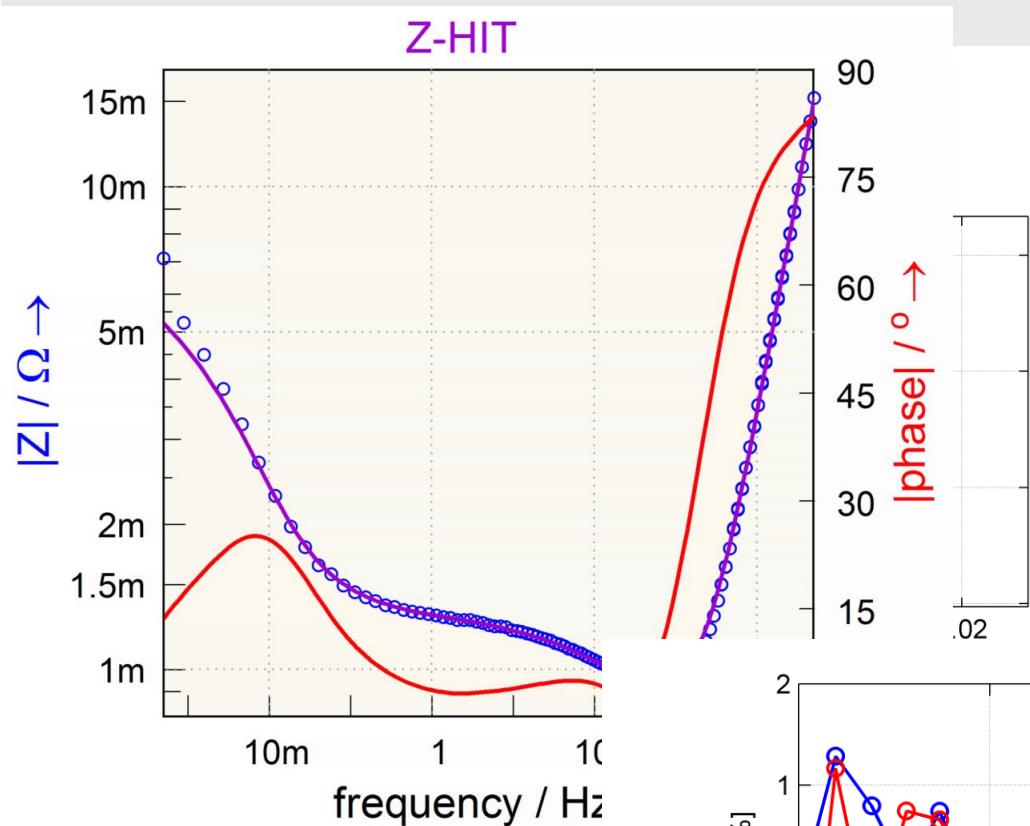
**ZAHNER**

M E S S S Y S T E M E

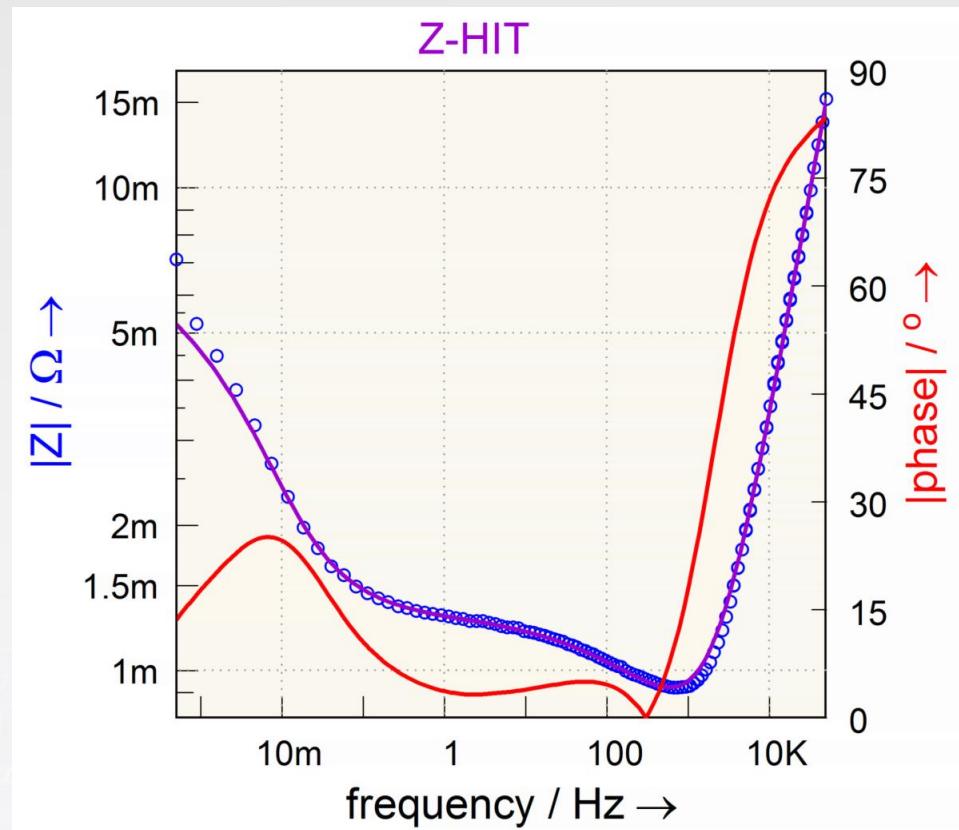
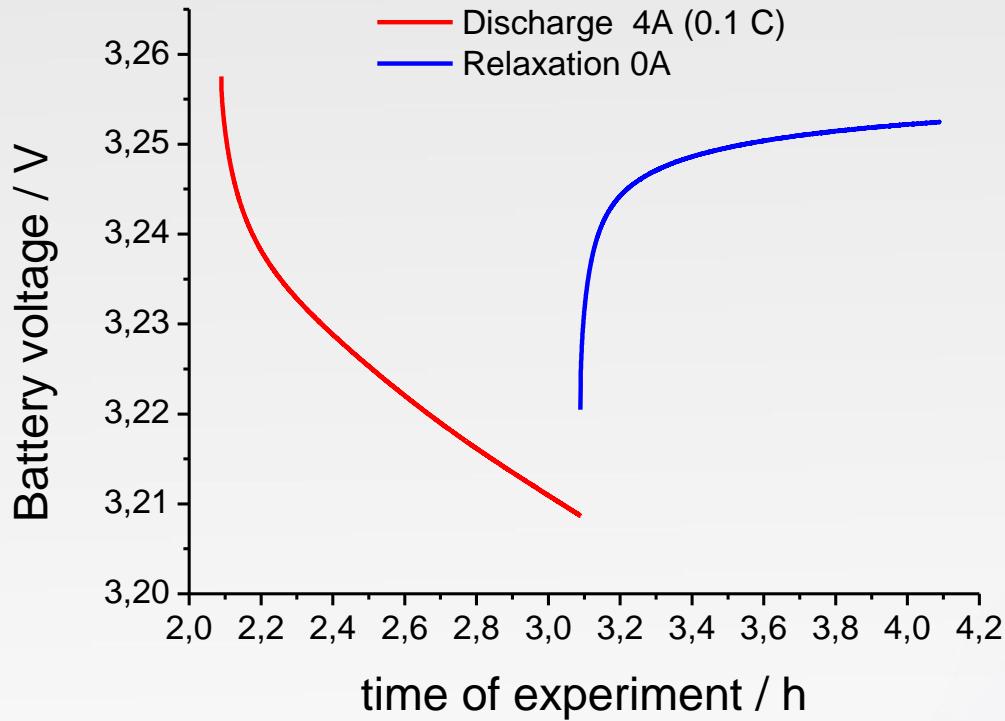
# Linear-KK – Coating (Huge-Z-Range)



# Linear-KK – Battery (II)



# Drift in Batteries



Measurement time ~ 6 h

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# The Z-HIT Approximation

## (evaluation of impedance modulus from the phase angle)

$$\ln|H(\omega_0)| \approx \text{const.} + \frac{2}{\pi} \int_{\omega_S}^{\omega_0} \varphi(\omega) d \ln \omega + \gamma \cdot \frac{d\varphi(\omega_0)}{d \ln \omega}$$

- Detection of artifacts
- Detection of instationarities (drift)
- History (time) preserving
- Reconstruction of causal spectra
- => Reliable interpretation of spectra

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# Validation of Spectra – Z-HIT

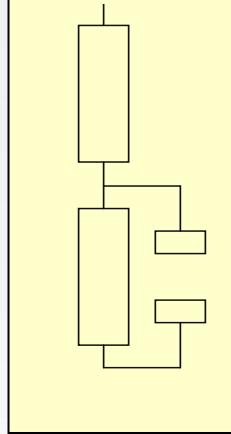
- W. Ehm, H. Göhr, R. Kaus, B. Röseler, C.A.Schiller, *Acta Chim. Hung.* 137 (2000) 145
- W. Ehm, R. Kaus, C. A. Schiller, W. Strunz, *New Trends in Electrochemical Impedance Spectroscopy and Electrochemical Noise Analysis*, ed. F. Mansfeld, F. Huet, O. R. Mattos, *Electrochemical Society Inc.*, Pennington, NJ, 2001, vol. 2000-24, 1
- C. A. Schiller, F. Richter, E. Gültzow, N. Wagner; *J. Phys. Chem. Chem. Phys.* 3 (2001) 2113
- C. A. Schiller, F. Richter, E. Gültzow, N. Wagner, *J. Phys. Chem. Chem. Phys.* 3 (2001) 374
- W. Strunz, C. A. Schiller, J. Vogelsang, *Materials and Corrosion* 59 (2008) 159
- C. A. Schiller, W. Strunz, *El. Acta* 46 (2001) 3619
- W. Strunz, C. A. Schiller, J. Vogelsang, *El. Acta* 51 (2006) 1437
- **Wikipedia (keyword: ZHIT)**      **(available in German language, soon (Nov. 2015) in English)**

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M E S S S Y S T E M E

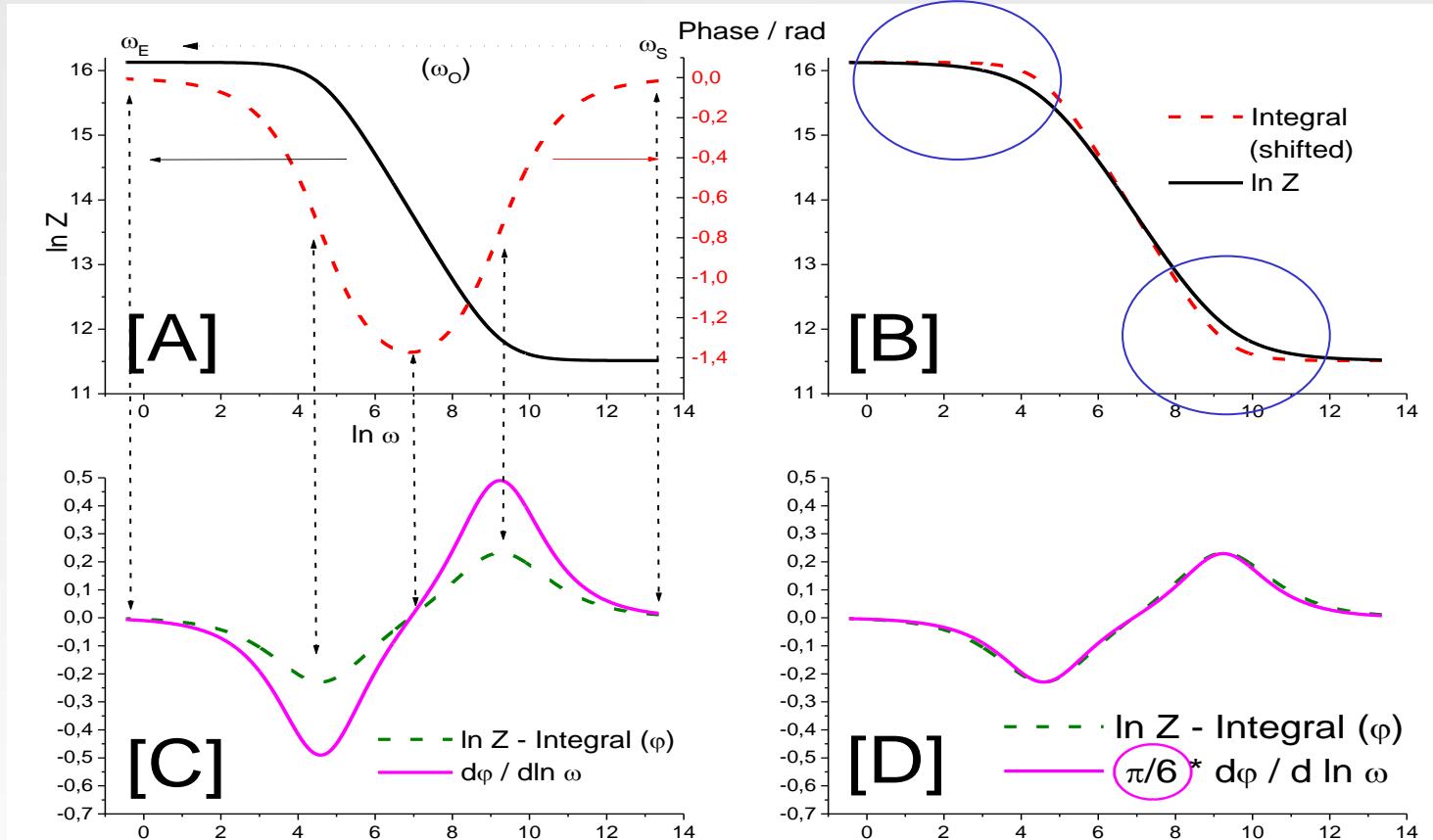
# Deduction of the Z-HIT

Randle  
circuit



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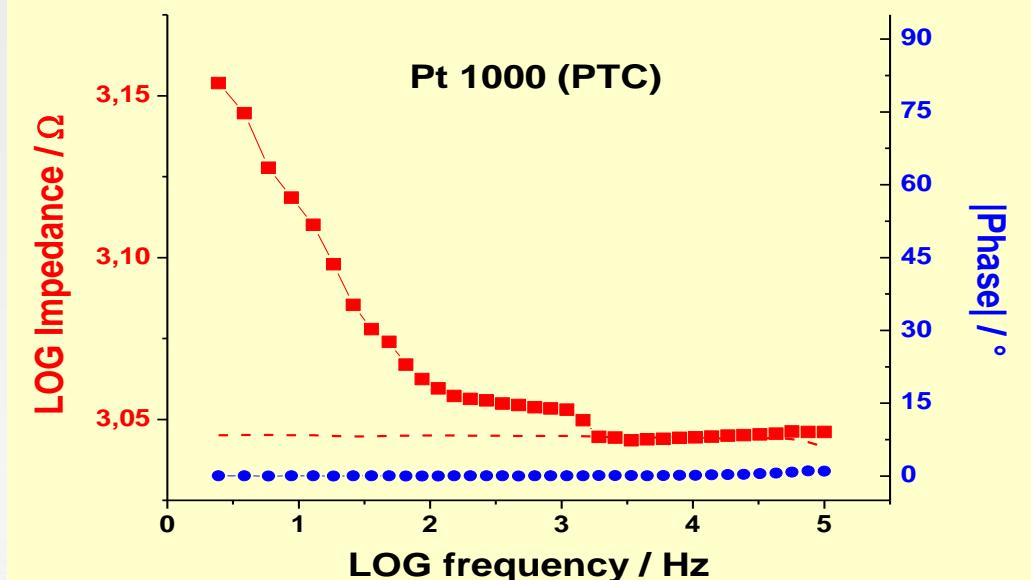
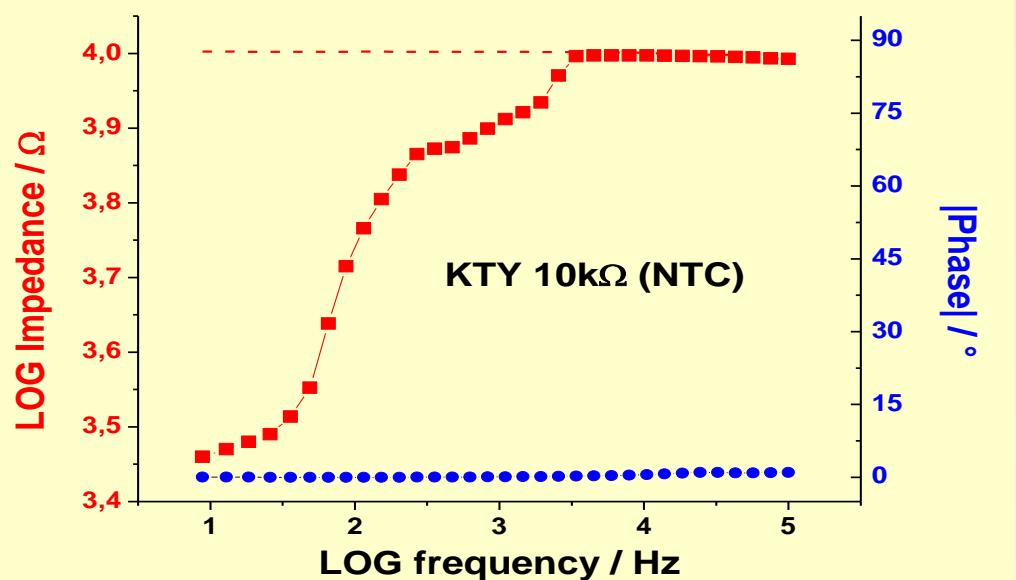
# The Sensitivity of Objects (Z & $\phi$ ) - Excellent Examples: Sensors !

- Temperature Dependent Resistor (NTC, PTC)  
**Pt 100, Pt 1000, KTY 81, ...**
- Light Dependent Resistor (LDR)
- Magnetic Dependent Resistor (MDR)
- Humidity Dependent Capacity
- .....

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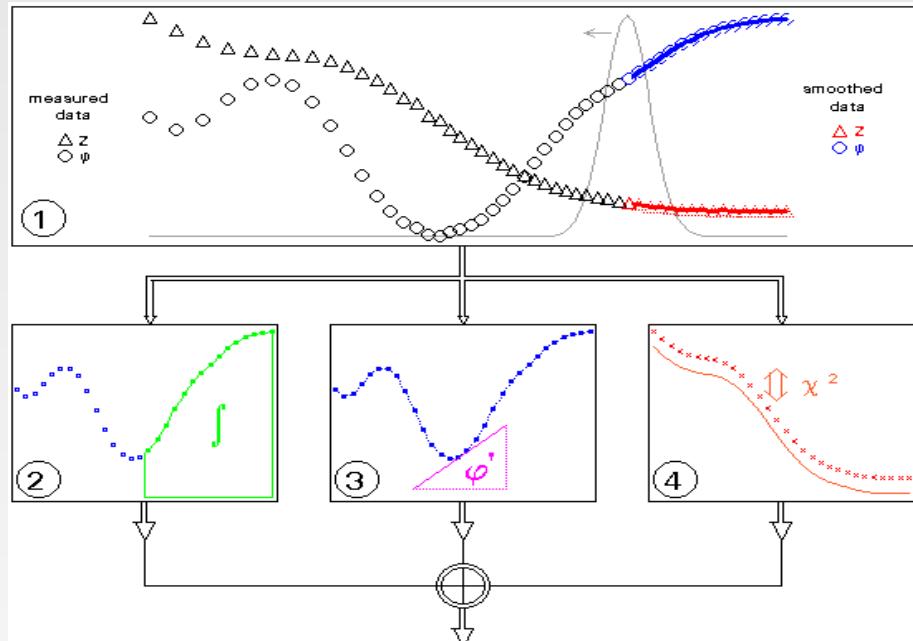
M E S S S Y S T E M E

# The Course of Phase and Impedance when Heating NTC/PTC



**Z &  $\varphi$**  : Phase  $\varphi$  is more stable than impedance Z

# Implementation of the Z-HIT



- 1) The experimental data are filtered by a smoothing algorithm. The result is a set of continuous samples equidistant in  $\log f$ .
- 2) The integral term is calculated by numerical integration.
- 3) The first derivate is taken from the smoothing function.
- 4) The integration constant is determined by a least squares fit.

$$\ln|H(\omega_0)| \approx -\frac{2}{\pi} \int_{\omega_S}^{\omega_0} \varphi(\omega) d \ln \omega$$

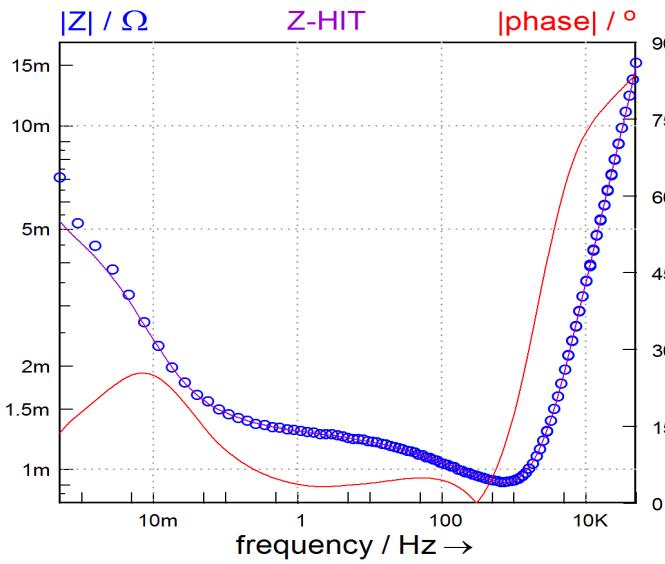
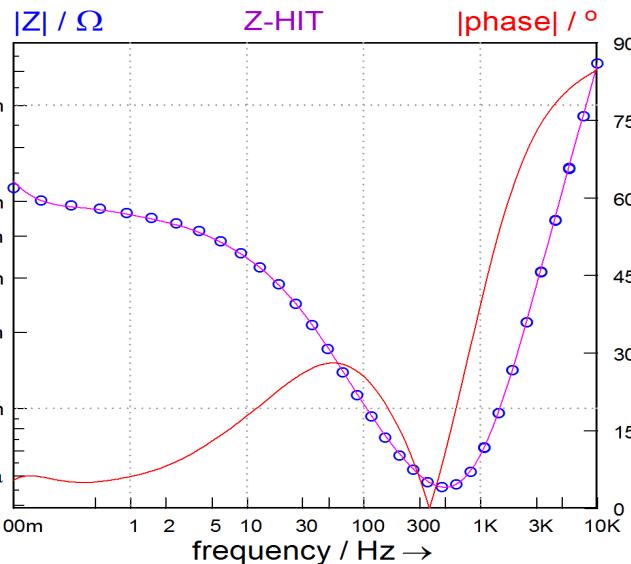
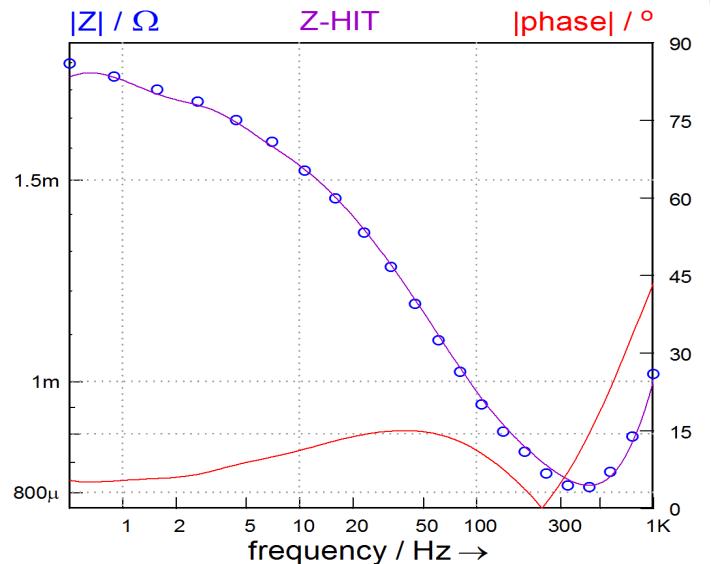
$$+ \gamma \cdot \frac{d\varphi(\omega_0)}{d \ln \omega} + \text{const.}$$

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# Battery under Load

## - Mutual Inductance & Drift



### High-frequency Data (inductance)

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*With kind permission of R. Gross, bno-consult, Dettelbach*

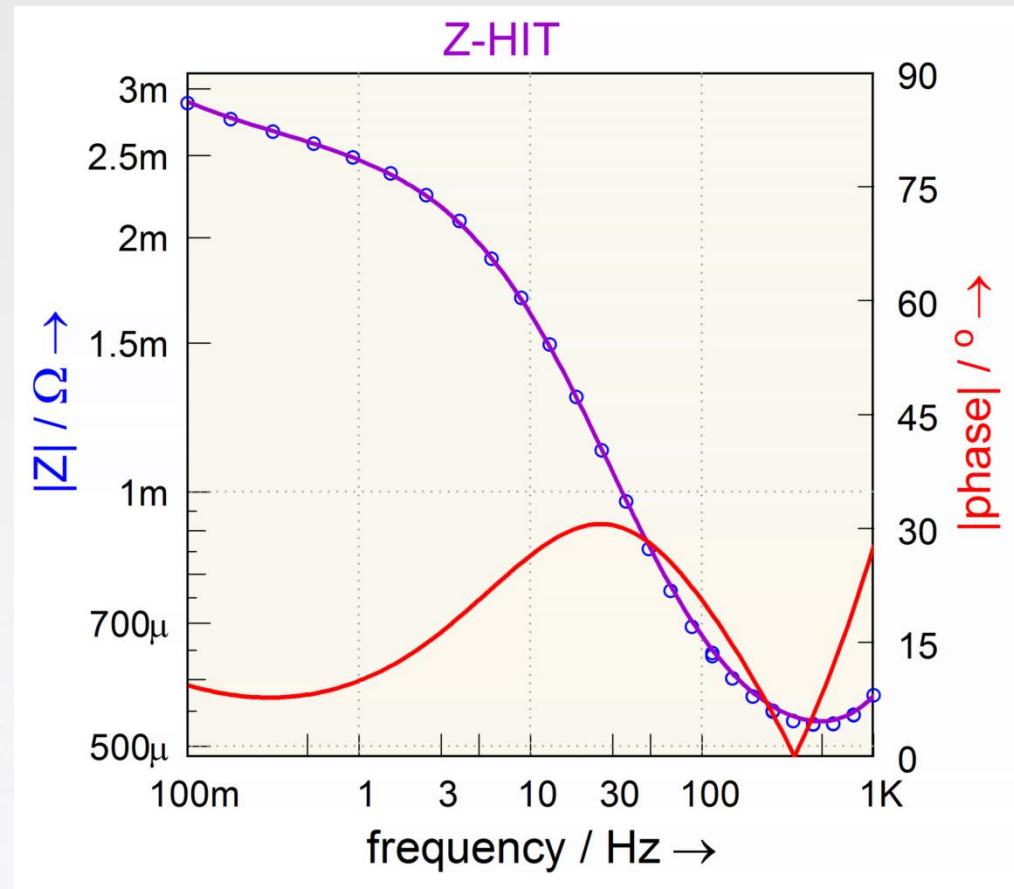
# Z-HIT Examples

## Battery (I)

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W. Strunz, IWIS 2015: Check of Causality of Measured EIS and Modeling ...



Scientific Instrumentation

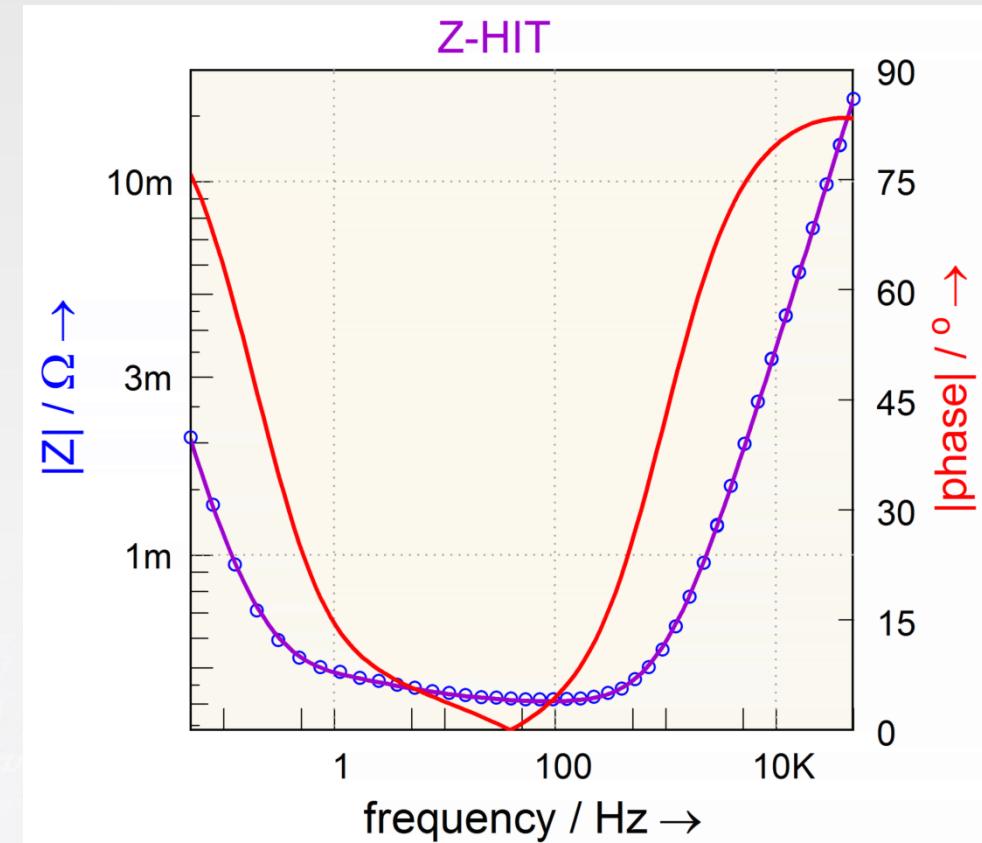
# Z-HIT Examples

## Supercap

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W. Strunz, IWIS 2015: Check of Causality of Measured EIS and Modeling ...



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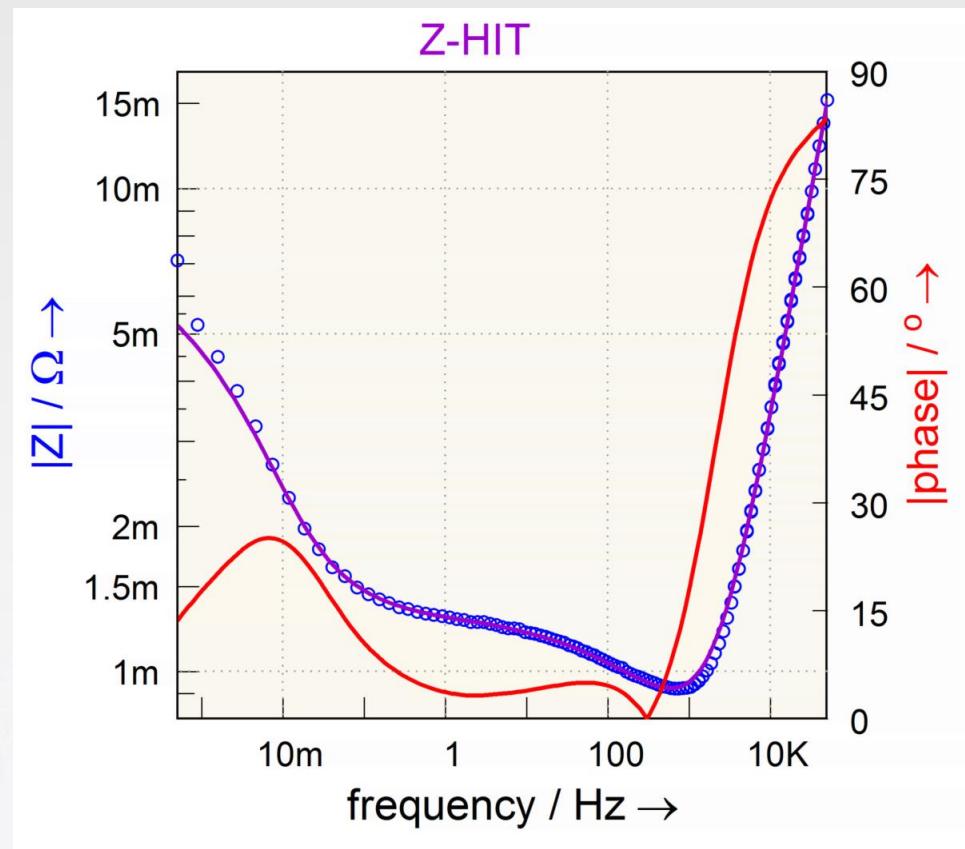
# Z-HIT Examples

## Battery (II)

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# What is History (Time) Preserving?

Considering Kramers Kronig relations

$$\text{Im}\{H(\omega_0)\} = \frac{2}{\pi} \omega_0 \text{PV} \int_0^{\infty} \frac{\text{Re}\{H(\omega)\}}{\omega^2 - \omega_0^2} d\omega$$

Restriction (2-Gate)



Z-HIT

$$\ln|H(\omega_0)| \approx \text{const.} + \frac{2}{\pi} \int_{\omega_s}^{\omega_0} \varphi(\omega) d \ln \omega + \gamma \cdot \frac{d\varphi(\omega_0)}{d \ln \omega}$$

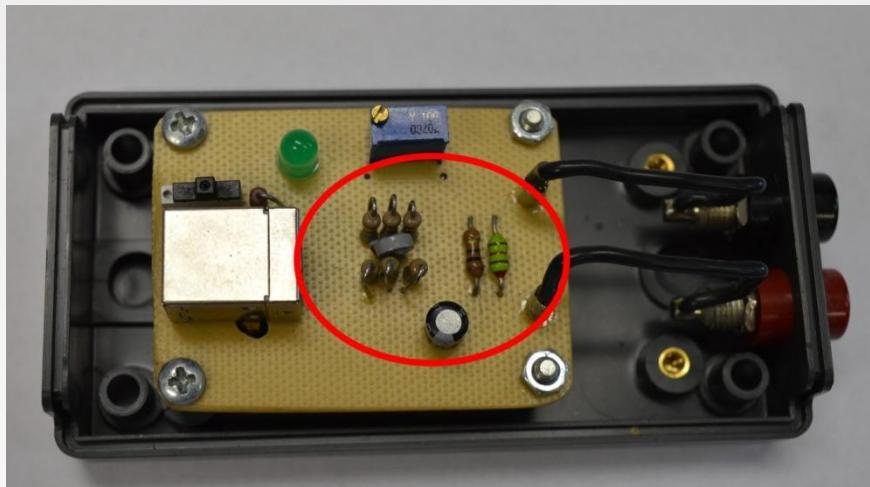
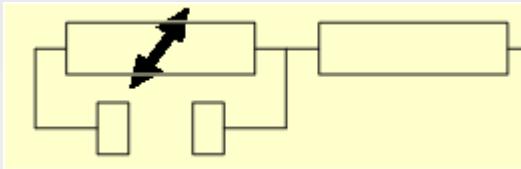
Integral-Term preserved

→ integration along the frequency axis leads  
to “weighting“ (measuring time)

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MESSSYSTEME

# History (Time) Preserving

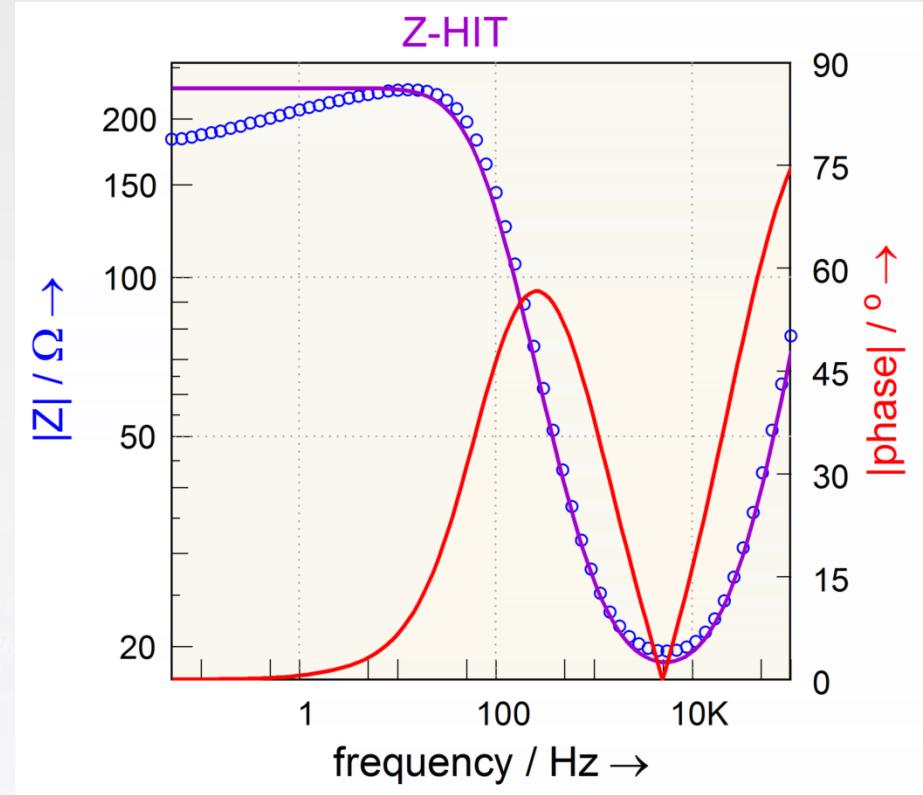
## Randle circuit with NTC as Charge Transfer Resistance



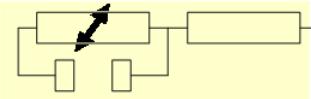
**ZAHNER**

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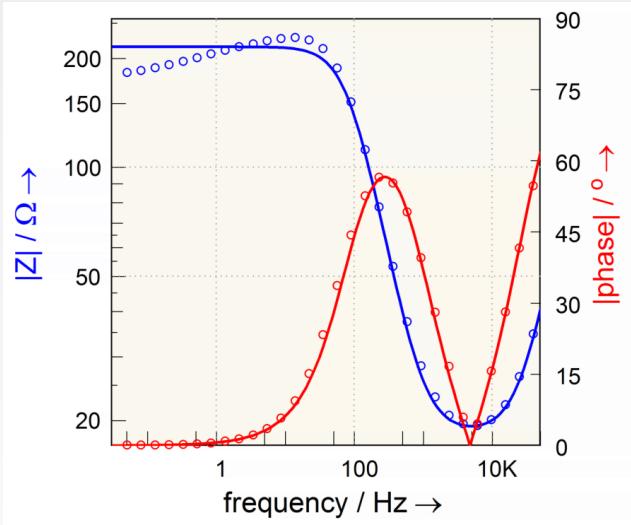


# History (Time) Preserving

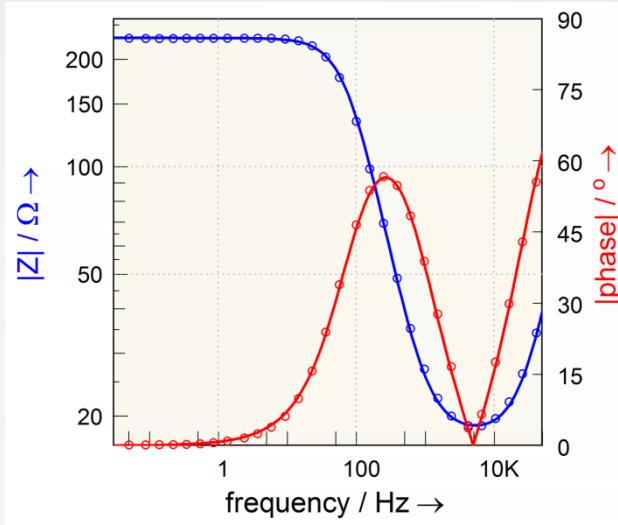


Randle circuit with NTC as Charge Transfer Resistance

Only Smoothing

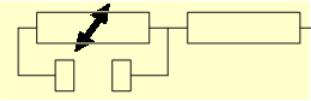


Z-HIT refinement



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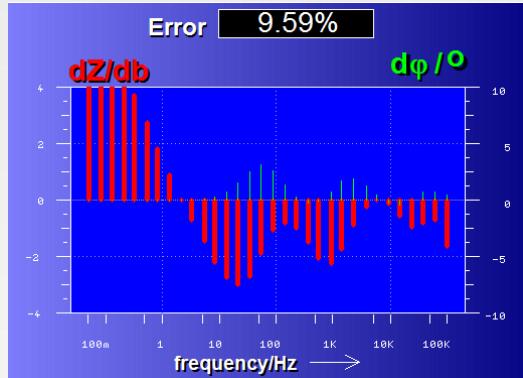


# History (Time) Preserving

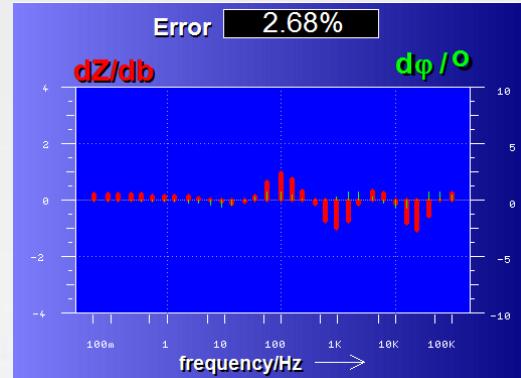


Randle circuit with NTC as Charge Transfer Resistance

Only Smoothing



Z-HIT refinement



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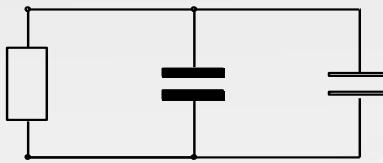
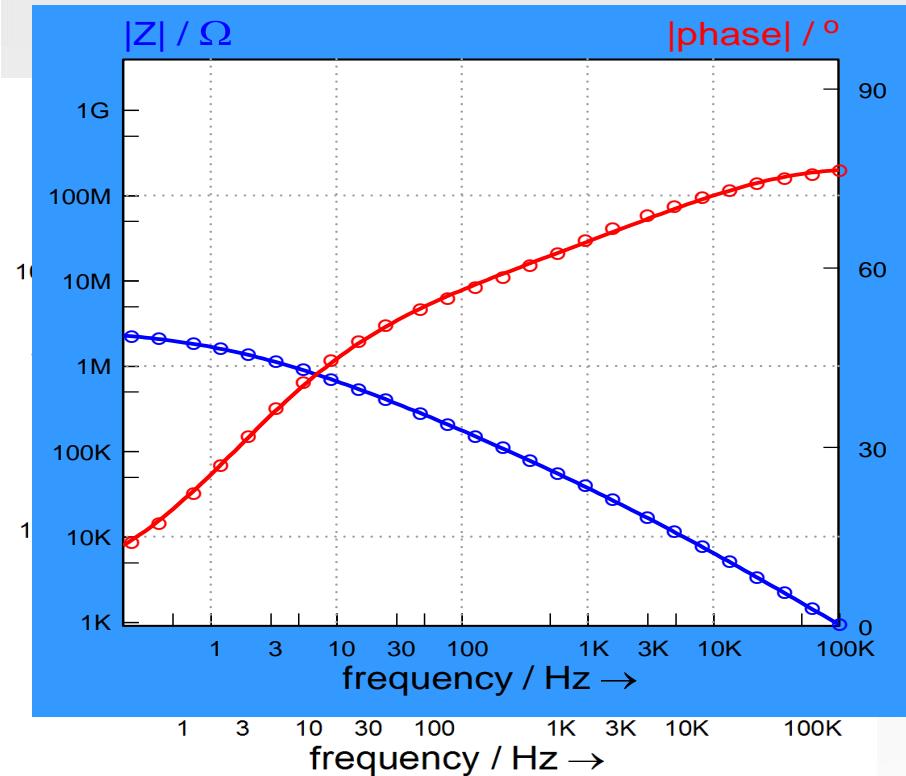
M E S S S Y S T E M E

Dangerous: expanding the model  
without physical justification

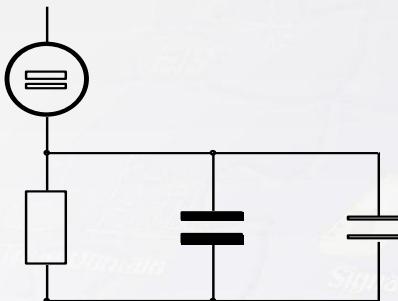
# Water Uptake - Waterborne Coating



## Series measurement



1<sup>st</sup>..4<sup>th</sup> spectrum



5<sup>th</sup> spectrum

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M E S S S Y S T E M E

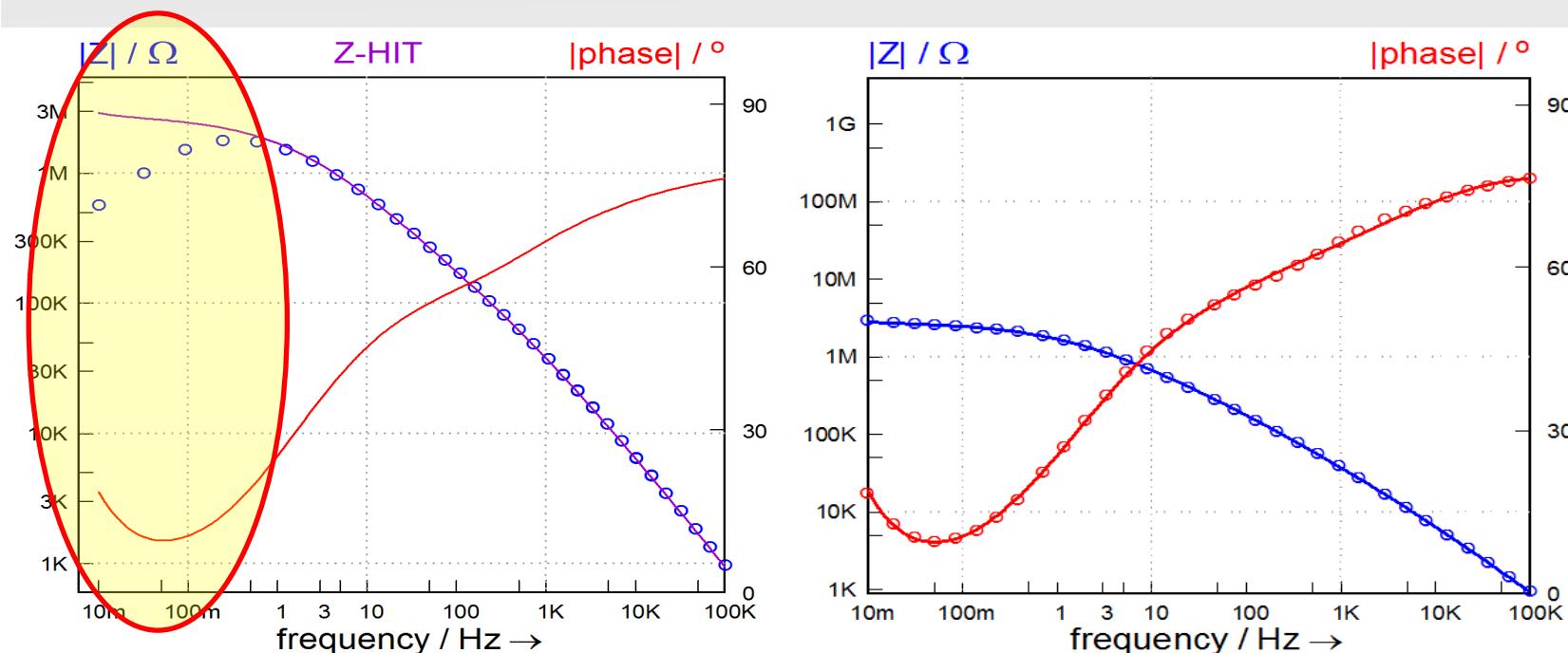
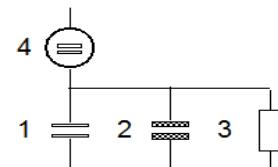
W. Strunz, IWIS 2015: Check of Causality of Measured EIS and Modeling ...

ALEX  
Scientific Instrumentation

# Water Uptake - Waterborne Coating



1	1.547	nF
2	100.9	$nF^\alpha$
3	599.7	m
3	2.694	MΩ
4	113.5	$K\Omega \cdot s^{-1/2}$
	200.7	$ms^{-1}$

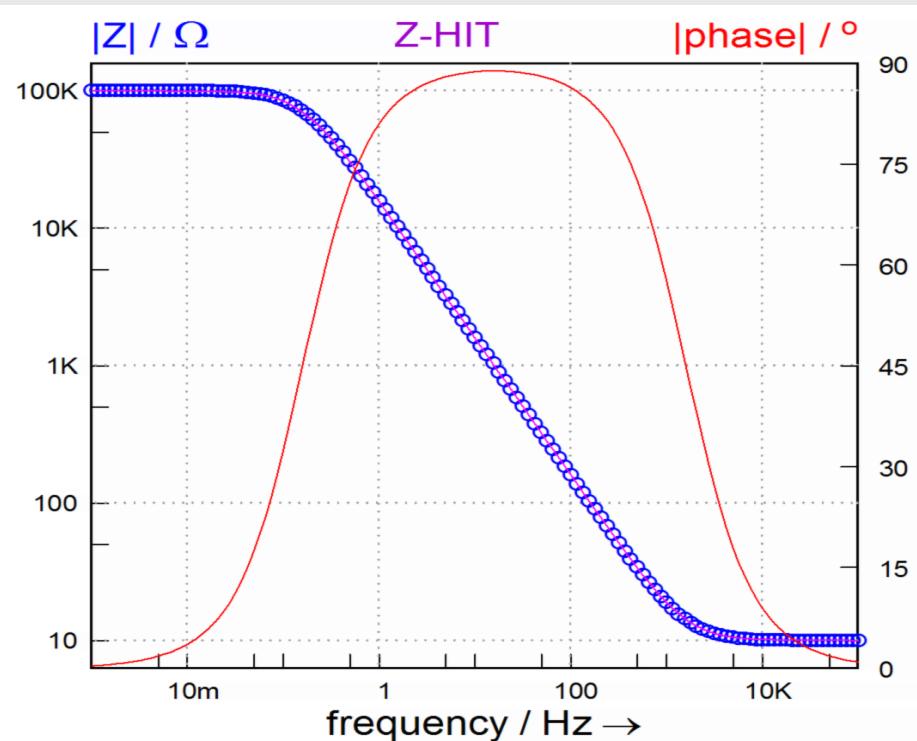


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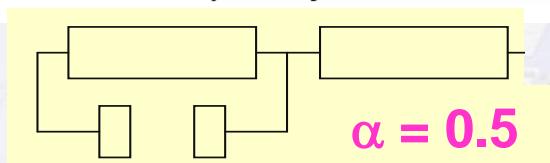
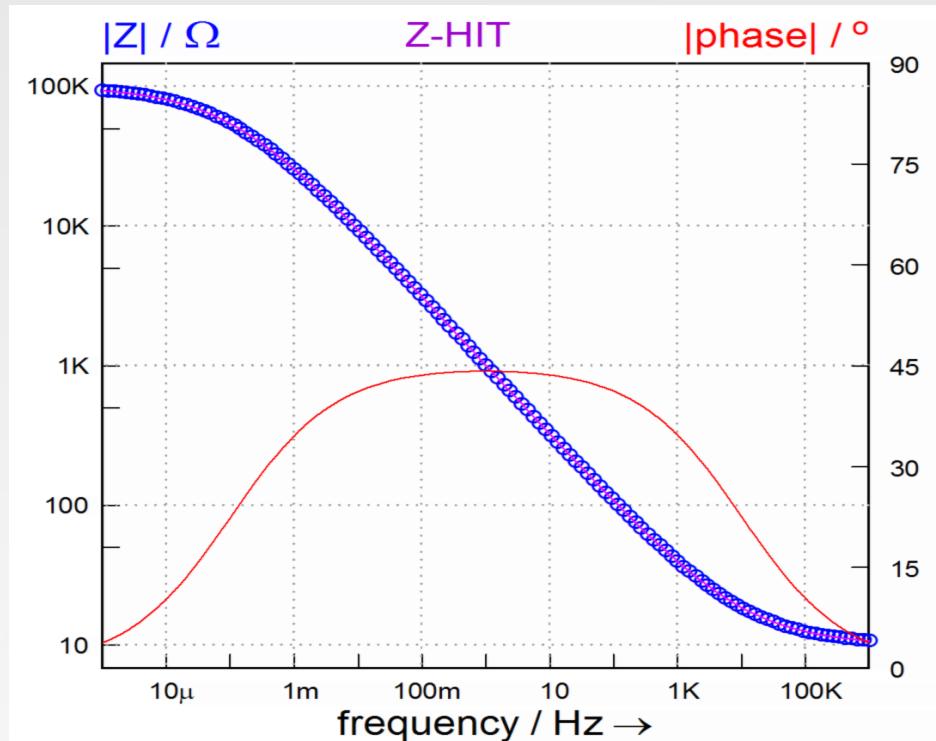
M E S S S Y S T E M E

# Z-HIT: Estimate of Accuracy (I)

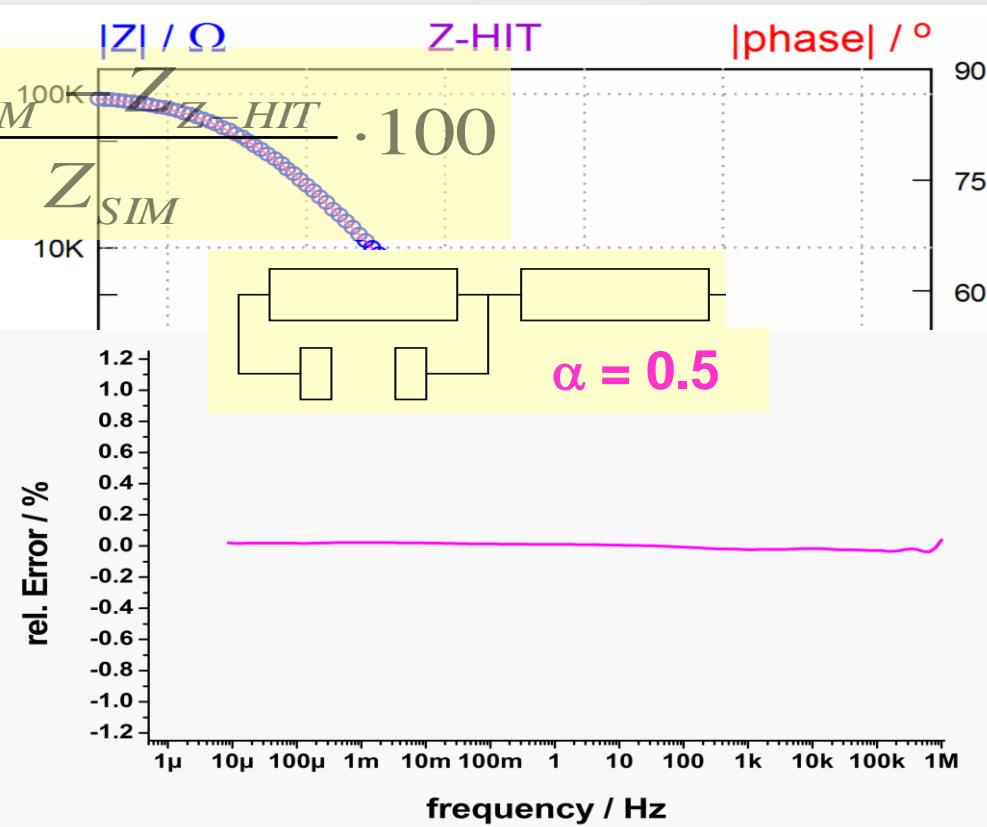
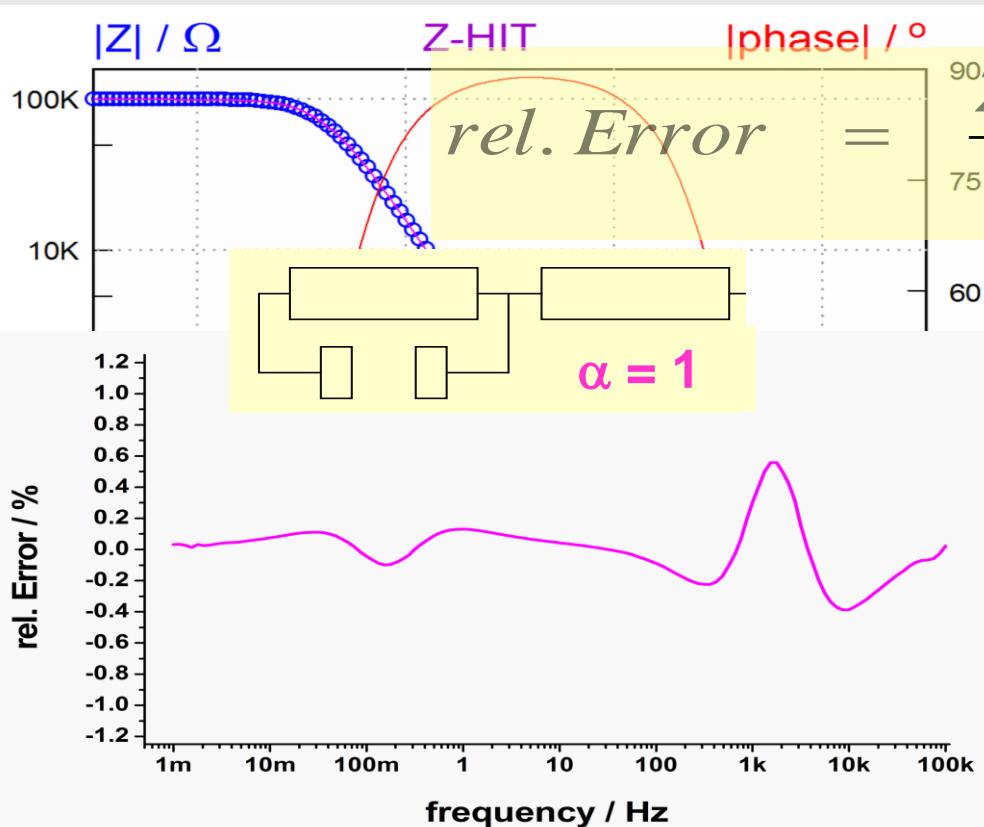


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# Z-HIT: Estimate of Accuracy (II)



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# Thank you for your attention

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